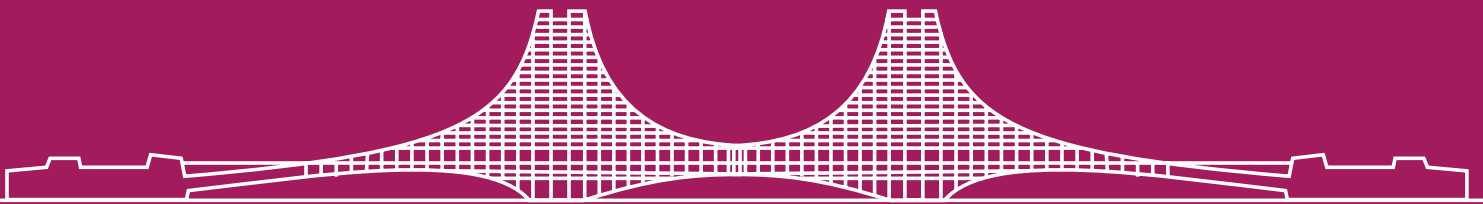




Annual Report
2000–2001

BUILDING BRIDGES





MISSION STATEMENT

FOUNDED IN 1949, the Center for Research Libraries is an international not-for-profit consortium of colleges, universities, and libraries that make scholarly research available to users everywhere.

CRL's mission is to stimulate and enhance scholarship as an extension of local resources by providing members with access to its collection of unique and rarely held research materials, as well as leadership in interlibrary cooperation.



MESSAGE
FROM STANLEY
CHODOROW,
CHAIR, BOARD
OF DIRECTORS
OF THE
CENTER FOR
RESEARCH
LIBRARIES



THE FIRST YEAR OF THE NEW MILLENNIUM was an extraordinary one for the Center for Research Libraries. In the first half of the year, Beverly Lynch continued as Interim President, long after she was to step down, while we continued the search for a new president. In her two years as Interim President, Beverly turned the CRL organization around, with outstanding support from Jim Green, the Chief Financial Officer, and many others on the CRL staff. Turning the organization around involved restructuring the management and the debt to make CRL more efficient and to bring its budget into balance. The changes resulted in the appointment of Melissa Trevvett as Vice President and Director of Programs and Services, and in public agency loan financing of the Center's building. In accomplishing all this, Beverly and the staff had the active support of Carla Stoffle and the finance committee of the Board.

In July, we appointed a new president, Bernard Reilly, Director of Research and Access at the Chicago Historical Society. Bernie started in early September. The first order of business was to build on what Beverly Lynch and the staff had done by creating a new strategic plan. The Board held a retreat in early November to formulate the basic outline of a plan, and Bernie, Jim Green, and other staff members have worked hard since then to give substance to that outline, both by defining its goals precisely and by developing proposals for implementing the plan. In its current form, the principal goals of the plan are to increase CRL's responsiveness to the collection development programs of its members, to establish CRL as a player in national preservation programs, and to begin broadening CRL's revenue sources. At the April meeting of the council, Bernie will present a strategic plan for discussion. After that discussion, the Board will work with Bernie and his colleagues to complete and implement the plan.

As we have worked through the strategic planning process, we have seen how powerful a tool it will be. Even in its current state, the plan has given CRL a foundation on which to build new relationships with libraries and organizations that are taking responsibility for preserving the content and form of the nation's intellectual heritage. In addition, some of the goals we outlined, such as increasing the accessibility of CRL's collections, have attracted favorable attention from foundations, because we are approaching our goals strategically – i.e. with an eye to the scholarly importance of each component of the collection and to its relationship to the collections of CRL's members.

Two years of extraordinary work by Beverly Lynch and the leadership and energy of Bernie Reilly give us all new hope that CRL will soon become a key institutional component of every member's planning and collection activity and that CRL will play a major national role as a supporter of scholarship. The Board is very pleased to receive this annual report; it is one to be remembered.

Stanley Chodorow



MESSAGE
FROM
BERNARD F.
REILLY,
PRESIDENT OF
THE CENTER
FOR RESEARCH
LIBRARIES



THE THEMATIC IDEA FOR THE CENTER FOR RESEARCH LIBRARIES fiscal year 2001 annual report is the bridge. As a connecting framework providing passage from one place to another, the bridge is an evocative symbol of movement and transformation, and an apt metaphor for this phase in the Center's history.

As vice president James Green's report suggests, 2001 was a bridge for the Center in several respects. With the recruitment and hiring of a new president the organization began to move from provisional interim status toward deliberative strategic action. At the same time CRL began to shift from its traditional role as a somewhat passive print and microfilm archive toward the more active stewardship of scholarly resources, digital and analog. In this capacity CRL provides the institutional base for projects like the Digital South Asia Library and International Coalition on Newspapers. And finally, CRL moved from deep fiscal crisis to a less perilous financial condition through the application of a series of budget cuts and operational economies.

The year's accomplishments belong to interim president Beverly Lynch, the Board of Directors, the many active CRL members, and to the talented and energetic staff of the institution. Through their efforts the Center met some daunting challenges, fiscal and programmatic, with intelligence and determination. As a result, the Center is on a more solid footing today than it was when the fiscal year began.

While we have a long way yet to travel, the Center's improving health should be good news to the larger polity of North American research libraries. Both the politics and economics of the new global knowledge economy pose serious challenges to this polity. The rapidly diminishing importance of political boundaries and geographical distances requires American scholars – and citizens – to better understand the cultures, religions, languages, and people of the developing world. While libraries have a vital role to play in fostering such understanding, by providing a critical mass of area studies research materials, they are frequently unable to secure the resources necessary to do so. Under these conditions cooperative effort will be more important than ever.

In the years ahead, through the Center's acquisitions, programs and services we will expand and enrich the shared corpus of area studies materials that has been created over the years through the CRL partnership. In this way the CRL partnership will help member libraries bridge the gap between available resources and their shared aspirations for promoting knowledge.

A handwritten signature in dark ink that reads "Bernard F. Reilly".

Bernard F. Reilly



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CRL ANNUAL REPORT – FY 2001

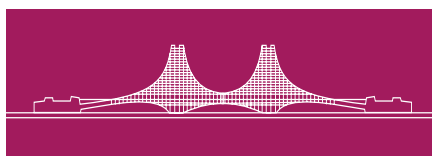


The Bridge of Apollodorus across the Danube River (detail from Trajan's Column in Rome, 133 A.D.)



FOR THE CENTER FOR RESEARCH LIBRARIES (CRL), the year ending June 30, 2001 was a time devoted to building bridges, beginning the process of transition and renewal. CRL fully recognized that changes in scholarly communications require a new kind of response to meet the challenges facing the broader community of research libraries and scholarly institutions. This recognition initiated the process of moving CRL toward a new interpretation of its mission. As a result, CRL's president and staff spent the year putting in place a number of "bridges," and laying the foundations for others. The "bridges" built this year do many things: they connect the Center's past to its future; span the gap between the traditional role of research libraries and their new role in the digital environment; link North American scholars to important research resources from around the world; and, most important, they begin to move the CRL organization from a period of short-term constraints to a more stable long-term financial position.

The process of organizational adaptation initiated this year will be an ongoing process, requiring long-range vision as well as short-term goals. This report provides an update on the progress made toward realization of that vision as well as the ongoing activities that provide continuity to CRL's traditional strategic role in the broader scholarly community.





THE BRIDGE FROM THE CRL OF THE PAST TO THE CRL OF THE FUTURE



*The Golden Gate Bridge at San Francisco,
California*



IN FY 2001 ONE OF THE MOST IMPORTANT of CRL's transitions was in its leadership. During 2001, Beverly Lynch continued to serve as the interim President of the Center, a post she assumed in January 2000, to allow the Board of Directors to perform a comprehensive search for the next CRL President. (This search successfully culminated in the July 2001 appointment of Bernard F. Reilly who joined the Center in September from his position as the Director of Research and Access for the Chicago Historical Society.)

Stanley Chodorow, Chair of the Center's Board of Directors, expressed the Board's gratitude to Dr. Lynch for her exemplary service during this interim period, stating, "The measured drone of ordinary expressions of gratitude is not close to adequate to express the thanks that the Board of the Center for Research Libraries offers to Beverly Lynch for her service as Interim President of the Center... Beverly has moved the Center towards greater responsiveness to its members' needs and an important role among institutions that will care for the nation's resources in the future."

Another important step in this move toward greater responsiveness was the convening of the Collection Assessment Task Force. CRL has recognized that the collection priorities and practices it has established over the past fifty-two years would benefit from a critical review and realignment with the current priorities of its membership. The Collection Assessment Task Force was formed to provide guidance for the future development of CRL collections by assessing the relative value of the extant collections, devising means to afford scholars more access to the collections, and recommending possible directions for new or expanded activity. The examination and recommendations of this group will inform the strategic planning process to be undertaken by the Board of Directors in FY 2002, and will also form the basis for possible future grant-supported projects aimed at improving the focus and accessibility of the CRL collections.

The Task Force was chaired by Ross Atkinson of Cornell University and included members Randall Barry of the Library of Congress, Judith Nadler of the University of Chicago, Edward Shreeves of the University of Iowa, Stephen Wiberley of the University of Illinois at Chicago, and Beverly Lynch and Melissa Trevvett of CRL. The Task Force's work was supported by grants from the Andrew W. Mellon Foundation and the Gladys Kriebel Delmas Foundation. Its report was published as a special issue of *Focus*, and distributed to the membership in December 2001.

THE BRIDGE FROM THE TRADITIONAL RESEARCH LIBRARY ROLE TO THE NEW RESEARCH LIBRARY ENVIRONMENT



The Tower Bridge at London, England



AS A PARTNERSHIP OF RESEARCH LIBRARIES, CRL must always remain responsive to the evolving needs of its member institutions and the scholars they serve. Recently, the growth and increasing use of online research resources have begun to alter the role of research libraries and the range of services they provide. These new research pathways have imposed a host of new demands on member libraries and their staffs, from serving as gateways to Web-based resources to creating “digital libraries” of converted and/or “born-digital” materials. In the face of already constrained resources, this expanded mission for research libraries makes frameworks for resource-sharing and cooperation in dealing with collection issues even more critical than they were before. Web projects assembling major holdings from multiple institutions into a single virtual collection require a credible source of coordination and centralized project support. The conversion of printed materials into digital collections, moreover, broaches the need for a coherent policy for the planned retention of the print originals, in case of the future need to re-convert or for those types of research that rely upon artifactual characteristics that might be lost in the translation of a work from print to digital. During 2001, the Center made progress on a number of projects designed to support these activities and meet these needs.

The International Coalition on Newspapers (ICON) is one such program that provides institutional coordination and a new cooperative framework. Established in 1999 and based at the Center for Research Libraries, ICON is a consortium of twelve institutions, whose mission seeks “to explore issues central to articulating and implementing strategies for improving access to and preservation of newspapers, including improving bibliographic access and indexing and resolving copyright issues.” In June 2000, CRL was awarded \$365,915 by the National Endowment for the Humanities to implement a preservation microfilm and newspaper access project using the ICON framework. In FY 2001, under Project Director John Dorr, ICON launched its campaign to preserve foreign language newspapers in U.S. collections by converting two important but endangered titles, *El Libre Pensador* and the *East Bengal Times*, to archival quality microfilm.

El Libre Pensador was published in Buenos Aires, Argentina from 1878-1884 and featured articles on political and social issues ignored by the city’s larger, well-established newspapers. *The East Bengal Times* was published in Dhaka from the late 1920’s through the 1930’s, and its news and commentaries chronicled the growing nationalistic and religious tensions in South Asia during that critical time. Microfilm copies of these titles are now available for loan from CRL. (ICON has also contracted for space at National Underground Storage to ensure the long-term health of the archival negatives produced by this project.) The print originals of these titles will remain in CRL’s collection, but access will be restricted to onsite use.

During the year, the ICON project also began development of a web-



accessible database to track the holdings of participating libraries, to register preservation decisions, and to provide greater intellectual access to the ICON participants' international newspaper collections. In December 2000, 18,000 records for non-U.S. newspapers were extracted from the CONSER database at the Library of Congress to serve as the initial foundation of the ICON database, and holdings information from the Center for Research Libraries and the New York State Library were added by April 2001. (The ICON database will be linked to the CRL website and the ICON website.) The ICON website will also contain a listing of newspaper preservation policies from institutions worldwide and a listing of intentions to microfilm and/or digitize materials. With these efforts, ICON staff hope to position the project as the clearinghouse for information on newspaper collections and a forum to share information on preservation, access, and copyright for newspapers from around the world.

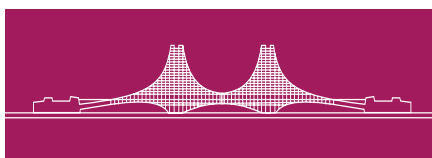
The Digital South Asia Library (DSAL) Project also made substantial progress during the 2000-2001 year. DSAL, which is a joint project of CRL, the University of Chicago, and Columbia University, links several institutions from around the world that hold important South Asian collections, and is currently funded through a grant from the Department of Education. (The current project builds upon a successful pilot project funded by the Global Resources Program of the Association of Research Libraries (ARL) and the Association of American Universities (AAU) with support from the Andrew W. Mellon Foundation.) The aim of DSAL is to make important and rare resources relating to South Asia available to the international community. A fundamental principle of the project is that it should provide benefit to scholars in South Asian nations as well as western countries.

Under Project Manager Rebecca Moore, DSAL developed an uncomplicated and easily navigable website that places the resources of the project in the foreground, providing for quick downloads with minimal enduser software requirements. Resources are organized under seven headings – Bibliographies and Union Lists, Indexes, Books and Journals, Reference Resources, Images, Maps and Cartographic Information, and Statistics. These resources can be viewed at the DSAL website at: <http://dsal.uchicago.edu/>.

During 2001, DSAL made a variety of new resources available. Eight digital books for language instruction and two general reference works were placed on the website, and work was begun on translations of *Ab-e hayat* (by Columbia Professor Francis Pritchett), and volume two of the *Katha Prize* stories, in addition to four journal titles. In conjunction with a related project – Digital Dictionaries of South Asia (DDSA) –, five dictionaries were mounted on the website and work is in progress on converting and mounting another thirty-two. Over 174,000 bibliographic records are available from seven databases, while work is being done on 70,000 index records for Tamil and Urdu journals. The current collection of images from the subcontinent available for viewing numbers over 2,500, with records and images in queue

for over 300,000 additional items. Statistical abstracts from the British colonial period are available in Excel-formatted spreadsheets and as digital books. Scanning of maps from various sources is underway as is work with geo-coordinate files for GIS conversion of South Asia cartographic materials in the future.

Also in FY 2001, the Center announced that it would create an archive of the print journals available through JSTOR. The stated goal of this project is to provide assurance to CRL members that the paper copy of all JSTOR titles will be archived at the Center, allowing some institutions to de-accession holdings in these titles. In 2001, deposits of JSTOR materials were received from 6 libraries, consisting of 29 titles and numbering 1,077 bibliographic volumes. In FY 2002, the Center will institute a website that tracks the CRL JSTOR collection as deposits are received and processed. The Center is working with JSTOR to recruit additional contributors to the archive.





THE BRIDGE FROM NORTH AMERICAN SCHOLARS TO GLOBAL INFORMATION RESOURCES



The Bridge across The Bosphorus at Istanbul, Turkey, connecting Europe with Asia



DURING 2001, CRL UNDERTOOK GREATER EFFORTS on three fronts to increase its value to members and the scholars they serve through acquisitions, developing improved access to the collections, and the continued administrative and programmatic support of the Area Studies Microform Projects, for which CRL provides a base of operations.

1. ADDITIONS TO THE CRL COLLECTIONS

As noted earlier, the report of the Collection Assessment Task Force will initiate a far-reaching review of CRL's collection practices and priorities. This will result in a re-examination of the areas and scope of current acquisition activity with respect to CRL's collections, which have traditionally been designed to supplement the local collections of major research universities. Recent changes in the collection development patterns at those institutions have not yet been fully reflected in a revision of CRL's activity, and this will merit serious consideration.

During FY 2001, work continued on a number of previously announced collection-related projects. The development of a national collection of record of foreign official gazettes moved ahead with discussions about future deposits from institutions with major holdings, as did the development of the collection of superseded reference works. The CRL serials cancellation project was fully implemented, eliminating titles which were widely held among member institutions. The Center completed further work on strengthening its collection of pre-1951 publications from the agencies of the state governments, following an intensive long-term study of the value of this collection.

Among other important additions, the following microfilm sets were approved for purchase in FY 2001 under the purchase proposal program:

- Books and Manuscripts of John Dee, 1527-1608 (Renaissance Man. Series One) Parts 4-5.
- Church Missionary Society Archive. Sect. 1 (East Asia) Parts 13-17; Sect. 2 (Women) Pt. 5; Sect. 3 (Central Records) Pts. 6-9; Sect. 5 (Americas) Pts. 2-3.
- Great Britain. Public Record Office. State Papers Relating to Ireland (SP 63-67).
- Dutch East Indies. Gouverneur Generaal. Mailrapporten/Mail reports from the Netherlands East Indies, 1869-1940 [1950]. Part 4: Secret Mail Reports, 1914-1940.
- Morgenblatt für gebildete Stände/gebildete Leser (1807-1865) (Saur, 1999).
- Presbyterian Board of Foreign Missions, 1833-1911. Reels 104-116/117, 174/175-180, 189-216, 224, 231-236/237, 245-260, 276-284.
- Russian Archives. Series two: State Archive of the Russian Federation (GARF): The intercepted correspondence of Russian revolutionaries



from the Special Dept. of the Dept. of Police 1906-1917

- Archive of the Russian Academy of Sciences (ARAN): Soviet genetics
- Thomas A. Edison Collection of American Sheet Music.

The following microfilm sets were acquired as continuing purchases of ongoing series:

- Comintern Archive (IDC) Congresses 5-7 (1924, 1928, 1935)
- British contemporary press during ...– 1999 & 2000 supplements

2. IMPROVED COLLECTION ACCESS

The Center has long recognized the need to make its collections more accessible to members and scholars, through increased bibliographic records, subject-based tools, and other finding aids. This goal was emphasized by the Collection Assessment Task Force, which especially cited the dissertation collection as a valuable national resource that would greatly benefit from increased bibliographic access. This past year, several activities were undertaken that increased the availability of bibliographical information on CRL's holdings, and improved the Center's ability to provide good service and access to its collections. .

- During the past fiscal year the Center cataloged over 9,600 monographs and serials into OCLC and its online catalog. Of these, 2,688 were new bibliographic records (i.e., original cataloging). Some of the materials cataloged were: more than 100 post-World War II European newspapers titles, the microfilm set of Memoria from the Dominican Republic, 137 Indian serial titles from the Foreign Documents collection, and the backlog of Japanese language materials. This reflects the Center's emphasis on adding holdings information about its unique or rarely held materials to the national bibliographic records database. CRL is also exploring ways to build subject- or discipline-based pathways into its collections in general, which will make them more accessible to scholars and other researchers.
- CRL staff worked with the Collection Assessment Group, chaired by Ross Atkinson, to analyze a variety of uncataloged collections, including the foreign dissertation collection. Several methods for providing access to this collection were examined. While work is still being done on the assessment for this collection, CRL will proceed to implement new procedures and standards for cataloging those dissertations that circulate.
- CRL created additional records to the searchable database for its foreign dissertation collection, previously uncataloged by historical policy. At the end of FY 2001, there were more than 18,000 records in the database. Using the experience gained from this database, a determination has been made as to which type of search is most popular. In descending ranking order the most popular searches are



The Tsing Ma Bridge links Tsing Yi to Ma Wan, China. Its main span of about 1,377 metres makes it the world's longest suspension bridge carrying both road and rail traffic.



subject/keyword, author, title, country, institution, language, and year.

- CRL added the Partners module to its Innovative Interfaces library management software system. This module provides access to CRL records without having to load CRL records into a member library's local OPAC, for those members using the Innovative software system.
- In October, CRL began to use the Innovative Circulation System for all of its interlibrary loans. This system will, for the first time, allow CRL to gather meaningful usage statistics, and develop more definitive information about how scholars use the collection. In addition, the Access Services Department initiated a Loan Status Project last year, whereby CRL now asks for confirmation that the loan is still active after one year, in order to verify that the transaction remains listed in the borrowing library's interlibrary loan records. In some cases, this loan status request has led to the identification of material that was designated as sent back to CRL but never received. In those instances, CRL has begun to replace the material newly identified as lost.

3. SUPPORT OF AREA STUDIES INITIATIVES

During 2001, the six Area Studies Microform Projects (AMP's) which operate through CRL continued to fulfill their role as crucial providers of unique research material and successful models of cooperative collection development. (These projects deal with Africa, Latin America, the Middle East, Slavic and Eastern Europe, South Asia, and Southeast Asia.) For these projects, a number of issues rose to the forefront of discussions that mirror debates within the larger CRL member community. These issues included the need for enhanced bibliographic control over material, the desire for broader coverage of international material in such formats as dissertations and serials, and the question of the AMPs' role in creating digital collections. It was clear that these decisions must be evaluated in the context of the broader research collective and made in close collaboration with each other.

In the past year, the Areas Studies groups accomplished many projects and continued to enhance their contribution to present and future scholars. Major achievements include the completion of many finding aids to collections, compiled and digitized on the various project Web sites, presentations to constituent interest groups highlighting program and collection strengths, and increased cooperation with national and international organizations. A few highlights are described below.

The Cooperative Africana Microform Project (CAMP) acquired several important new microform sets this year, including the Paris Evangelical Missionary Society Archives and African newspapers from Northwestern University holdings, filmed as part of their Committee on Institutional



Cooperation-NEH preservation grant project. CAMP has often reported on its cooperation with Title VI institutions in the joint project to film colonial records at the National Archives of Senegal. This project will continue during 2001 in a second phase of cooperative work, to preserve records of the "Affaires politiques et administratives du Senegal, Serie D." These materials will be filmed as part of a larger effort for which CAMP will seek outside support for continued preservation in archives throughout Africa.

The Latin American Microform Project (LAMP) completed preservation filming of mining records from Tupiza, Bolivia, and court documents from Brazil's Military Supreme Court documenting human rights violations by the military government in Brazil from 1964-1979. LAMP also initiated some large-scale projects in Argentina to film significant portions of *The Standard*, the most important English-language newspaper in Buenos Aires, and public opinion polls from the Archivo Miguens. Under LAMP's long-standing cooperation with the Library of Congress to film ministerial reports from Latin America, these memorias are being cataloged by CRL staff according to CONSER standards.

The Middle East Microform Project (MEMP) continued its own long collaboration with the Library of Congress to film important Arabic pamphlets and serials from LC's collection. MEMP also cooperatively cataloged its backlog of titles to enable access to many useful serials and monographs from Algeria, Lebanon, and Sudan. The group is currently reviewing strategic directions and collection priorities for the future to provide better services for its members.

The South Asia Microform Project (SAMP) successfully carried out its first field project using its portable camera to film Bengali manuscripts collected by the eminent scholar Sukumar Sen. This resulted in the preservation of more than 900 critical manuscripts that are considered to be the core of the vernacular Bengali literary canon. SAMP and the Digital South Asia Library also collaborated with institutions on the subcontinent to acquire, film, and digitize vernacular newspapers from India, Pakistan, and Nepal.

The Southeast Asia Microform Project (SEAM) continued to film a large collection of Southeast Asian newspapers held at Cornell University. SEAM also received a large collection of Javanese language material from Surakarta, Central Java, to round out its mammoth collection of historic manuscripts from Indonesia. Other important initiatives included cooperation with the Yale University and the Documentation Center of Cambodia to film the Santebal Archives of secret files of the Khmer Rouge. This collaboration will also preserve biographies, dossiers, and files relating to genocide in Cambodia in the 1970's.



*The Sydney Harbour Bridge
at Sydney, Australia*



The nascent **Slavic and East European Microform Project (SEEMP)** collection continued to grow, as projects developed since 1996 came to fruition. Recent acquisitions included recent newspapers from Bosnia, Croatia, and Yugoslavia, and various regions of Russia. New items available to SEEMP and CRL members include a collection of extremist newspapers that document the changing nature of Russian politics and opinion from 1990-1998, with additional titles and years planned. Other new receipts included more than three hundred rare monographs in the Yakut, Kумыk, and Chuvash languages of the former Soviet Union, which will be fully cataloged in CRL's OPAC. A complete list of projects is available on the SEEMP Web site.

In addition to their regular operations, many AMP projects continued to support and facilitate special grant projects. Among these were:

CRL / LAMP Brazilian Government Document Digitization Project –

This landmark project finally reached its culmination, as all 2,800 reports in the collection were indexed and made available over the Web, resulting in more than 675,000 page images. The project also dramatically increased the speed of access by upgrading to 73GB hard drives rather than the now out-moded jukebox technology. A final report will be issued on CRL's Web site in March 2002. For additional information, go to <http://wwwcrl.uchicago.edu/info/brazil/>

Cooperative African Newspapers Project: African Newspapers Union List –

This project, managed by CAMP and the Africana Librarians Council and sponsored by the AAU/ARL Global Resources Project, seeks to provide enhanced bibliographic information on African newspapers held in the U.S. and abroad. A searchable union list database for the African Newspapers Union List (AFRINUL) was created, and the project is currently developing an on-line administrative tool to input additional records. For additional details, go to the project website at: <http://wwwcrl.uchicago.edu/info/camp/afrinul.htm>

SEAM/Luce Microfilming and Preservation Project in Vietnam -

Sponsored by the Henry Luce Foundation and the Harvard Yenching Institute, SEAM/Vietnam has been working with the National Library in Hanoi to preserve important material (in French and Vietnamese) from dynastic and colonial periods of Vietnam. To date, SEAM has received over 200 reels of film, representing a number of early and publications journals not held elsewhere in the world. Additional details on this project are at: <http://wwwcrl.uchicago.edu/info/seam/seamviet.htm>



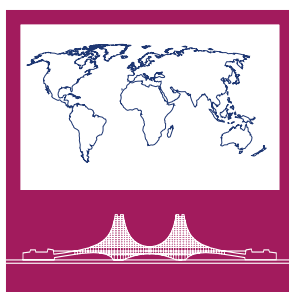
THE BRIDGE TO FINANCIAL STABILITY

CRL remained committed to broadening its membership during 2001, welcoming the University of Denver and the University of Victoria as new Associate Members. At the end of the year, there were 210 institutions participating in CRL, including 95 Voting Member institutions.

Improvement of CRL's financial condition was a very high organizational priority during the year and remains so. Just before the beginning of FY 2001, CRL completed negotiations on an agreement to restructure the remaining debt from the construction of the Kenwood 2 building module and to repay the \$2,400,000 balance over 25 years at a tax-exempt interest rate. This resulted in an interest savings of more than \$100,000 in FY 2001, most of which was redirected toward the retirement of that debt. This agreement, along with strong cost controls and budget reductions implemented by interim President Lynch, brought a significant improvement in the Center's financial condition during the year, as reflected in detail in the attached financial statements. CRL is committed to continuing this fiscal discipline while seeking new avenues for an enhanced and diversified revenue stream with increased external funding.

CONCLUSION

While 2001 can be characterized as a transitional period, the Center for Research Libraries made major strides during the year in supporting the needs of its members and seeking out new opportunities for service and growth. In many ways the stage has been set this year for a new direction and focus for the Center, one that will build upon the successes of the past but respond to the needs of the present and the future. With a new sense of direction and commitment, and the continued support of its members, the Center for Research Libraries expects to continue to build the bridges that will enable future generations of scholars to obtain the services and resources essential to their efforts.





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Brice Hobrock
Kansas State University
Sarah Michalak
University of Utah
Ryoko Toyama
Rutgers University



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*Vice President for Membership and External
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Collection Resources Bibliographer
(Resigned April 2004)
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Head, Systems Department
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*Head of Access Initiatives and Coordinator
for Special Projects*
Kevin Wilks
Head, Access Services Department
Reginald Wojciechowski
Head, Building Services



CRL MEMBER INSTITUTIONS

(as of June 30, 2001)

VOTING MEMBERS

University of Akron
University of Alabama
University of Alberta
University of Arizona
Arizona State University
Brigham Young University
University of British Columbia
Brown University
University of Calgary
University of California, Berkeley
University of California, Davis
University of California, Irvine
University of California, Los Angeles
University of California, Riverside
University of California, San Diego
University of California, Santa Barbara
University of California, Santa Cruz
University of Chicago
University of Cincinnati
The Claremont Colleges
University of Colorado
Columbia University
CIC Committee on Institutional Cooperation
Cornell University
University of Delaware
Duke University
Emory University
University of Florida
Florida State University
University of Georgia
Harvard University
University of Houston
University of Illinois at Chicago
University of Illinois at Urbana-Champaign
Indiana University
University of Iowa
Iowa State University
University of Kansas
Kansas State University
Kent State University
University of Kentucky
Loyola University of Chicago
University of Maryland at College Park
University of Massachusetts, Amherst
McGill University
Miami University of Ohio
University of Michigan
Michigan State University
University of Minnesota-Twin Cities
University of Missouri-Columbia
University of Missouri-Kansas City
New York Public Library
New York University
SUNY-Albany
SUNY-Binghamton
SUNY-Buffalo
SUNY-Stony Brook
North Carolina State University
University of North Carolina at Chapel Hill
Northern Illinois University
Northwestern University
University of Notre Dame
Ohio State University
Ohio University
OhioLINK
University of Oklahoma
Orbis Library Consortium
University of Oregon
Oregon State University
Penn State University
Princeton University
Purdue University
Rice University
University of Rochester
Rutgers University
University of South Carolina
University of Southern California
Southern Illinois University



CRL MEMBER INSTITUTIONS

(as of June 30, 2001)

Southern Methodist University
University of Tennessee
University of Texas-Austin
Texas Tech University
University of Toronto
Tulane University
University of Utah
Utah State University
Vanderbilt University
University of Vermont
Virginia Commonwealth University
University of Virginia
Washington University
University of Washington
Washington State University
Western Michigan University
University of Wisconsin-Madison
Yale University

ASSOCIATE MEMBERS

Bowling Green State University
Brandeis University
Carleton University
Carnegie Mellon University
Case Western Reserve University
College of William and Mary
University of Dayton
University of Denver
Florida Atlantic University
Florida International University
George Mason University
Hanover College
Indiana State University
Indiana University of Pennsylvania
Lake Forest College
Marquette University
University of Mississippi
University of Missouri-St. Louis
National Humanities Center
University of North Texas
Old Dominion University
University of Ottawa
Portland State University
Princeton Theological Seminary

Purdue University - Calumet
Research Libraries Group, Inc.
(RLG)
Nitze School of Advanced Int'l
Studies at Johns Hopkins
University
Southwest Missouri State
University
University of Texas – San Antonio*
Truman State University
U.S. EPA
Urbana Free Library
Valparaiso University
University of Victoria
Western Kentucky University
State Historical Society of
Wisconsin
Wright State University

AFFILIATE MEMBERS

Association of Research Libraries
(ARL)
OCLC Online Computer Library
Center Inc.

PARTICIPATING GROUP MEMBER INSTITUTIONS

OhioLINK Institutions
Antioch College
Ashland University
Athenaeum of Ohio
Baldwin-Wallace College
Belmont Technical College
Bluffton College
Capital University
Cedarville College
Central Ohio Technical College
Central State University
Cincinnati State Technical and
Community College
Clark State Comm. College
Cleveland State University
College of Mount St. Joseph
College of Wooster



CRL MEMBER INSTITUTIONS

(as of June 30, 2001)

Columbus State Community College
Cuyahoga Community College
Defiance College
Denison University
Edison Community College
Franciscan University of Steubenville
University of Findlay
Heidelberg College
Hiram College
Hocking College
Jefferson Community College
John Carroll University
Kenyon College
Lakeland Community College
Lima Technical College (OSU)
Lorain Community College
Malone College
Marion Technical College (OSU)
Medical College of Ohio
Mt. Carmel College of Nursing
Mt. Union College
Mt. Vernon Nazarene College
Muskingum Technical College (OU)
North Central Technical College
Northeastern Ohio Universities College of Medicine
Northwest State Community College
Oberlin College
Ohio Dominican College
Ohio Northern University
Ohio Wesleyan University
Otterbein College
Owens Community College
Rio Grande Community College
Shawnee State University
Sinclair Community College
Southern State Community College
Stark State Community College
State Library of Ohio

Terra Community College
Tiffin University
University of Toledo
Ursuline College
Washington State Community College
Wilberforce College
Wilmington College
Wittenberg College
Xavier University
Youngstown State University

Orbis Institutions

Eastern Oregon University
George Fox University
Lewis and Clark College
Linfield College
Oregon Institute of Technology
Reed College
Southern Oregon University
University of Portland
University of Puget Sound
Western Oregon University
Whitman College
Willamette University

Area Studies Projects

COOPERATIVE AFRICANA MICROFORM PROJECT MEMBERS

2000-2001

FULL MEMBERS

(North America):

Boston University
University of California, Berkeley
University of California, Los Angeles
University of Chicago
Columbia University
Cornell University
Dartmouth College
Duke University



CRL MEMBER INSTITUTIONS

(as of June 30, 2001)

Emory University
University of Florida
Harvard University
University of Illinois at
Urbana-Champaign
Indiana University
University of Iowa
University of Kansas
Library of Congress
Michigan State University
University of Minnesota
New York Public Library
New York University
University of North Carolina
Northwestern University
Ohio University
Ohio State University
University of Pennsylvania
Princeton University
Queen's University
Rutgers University
Stanford University
Syracuse Library
Temple University
University of Virginia
University of Wisconsin-Madison
Yale University

OVERSEAS MEMBERS:

University of Cambridge,
African Studies Center
Harry S. Truman Research
Institute, Hebrew University
School of Oriental and African
Studies, University of London
Scandinavian Institute of
African Studies
South African Library

LATIN AMERICA MICROFORM PROJECT MEMBERS

2000-2001
Brigham Young University
University of California, Berkeley

University of California, Irvine
University of California,
Los Angeles
University of California, Riverside
University of California, San Diego
University of California,
Santa Barbara
University of California,
Santa Cruz
University of Chicago
Columbia University
University of Connecticut
Cornell University
Duke University
University of Florida
Harvard University
University of Illinois at
Urbana-Champaign
Indiana University
University of Kansas
Library of Congress
University of Massachusetts
University of Miami
University of Michigan
University of Minnesota
University of New Mexico
New York Public Library
New York University
University of North Carolina
University of Notre Dame
Ohio State University
University of Pennsylvania
University of Pittsburgh
Princeton University
Rice University
Rutgers University
University of Southern California
Stanford University
University of Texas
University of Toronto
Tulane University
Vanderbilt University
University of Virginia
University of Wisconsin-Madison
Yale University



CRL MEMBER INSTITUTIONS

(as of June 30, 2001)

MIDDLE EAST MICROFORM PROJECT MEMBERS , 2000-2001

University of Arizona
University of California, Berkeley
University of California, Los Angeles
University of California, Santa Barbara
Columbia University
Duke University
Georgetown University
Harvard University
Hoover Institution, Stanford University
University of Illinois at Urbana-Champaign
Indiana University
Library of Congress
University of Michigan
University of Minnesota
New York Public Library
New York University
University of North Carolina
Ohio State University
University of Pennsylvania
Princeton University
University of Texas
University of Washington
Washington University
Yale University

SOUTH ASIA MICROFORM PROJECT MEMBERS 2000-2001

FULL MEMBERS:

University of British Columbia
Brown University
University of California, Berkeley
University of California, Los Angeles
University of Chicago
Columbia University

Cornell University
Duke University
Emory University
Florida State University
Harvard University
University of Hawaii
University of Illinois at Urbana-Champaign
Indiana University
University of Iowa
Kansas State University
Library of Congress
University of Michigan
University of Minnesota
University of Missouri
New York Public Library
University of North Carolina
North Carolina State University
Ohio State University
University of Pennsylvania
Syracuse University
University of Texas at Austin
University of Toronto
University of Virginia
University of Washington
University of Wisconsin-Madison

ASSOCIATE MEMBERS:

Indiana University

SOUTHEAST ASIA MICROFORM PROJECT MEMBERS 2000-2001

Arizona State University
University of British Columbia
University of California, Berkeley
University of California, Los Angeles
University of Chicago
Columbia University
Cornell University
Duke University
Harvard University
University of Hawaii



CRL MEMBER INSTITUTIONS

(as of June 30, 2001)

Library of Congress
University of Michigan
University of North Carolina
Northern Illinois University
Ohio University
University of Oregon
Princeton University
Royal Institute of Linguistics and
Anthropology (KITLV),
Netherlands
University of Washington
University of Wisconsin-Madison
Yale University

University of North Carolina
Ohio State University
University of Pittsburgh
Princeton University
Stanford University
University of Texas, Austin
University of Toronto
University of Washington
University of Wisconsin, Madison
Yale University

SLAVIC & EASTERN EUROPE MICROFORM PROJECT MEMBERS

2000-2001

Arizona State University
University of Arizona
University of California, Berkeley
University of California,
Los Angeles
University of Chicago
Columbia University
Cornell University
Duke University
University of Florida
George Washington University
Harvard University
University of Illinois,
Urbana-Champaign
Indiana University
University of Iowa
Library of Congress
University of Michigan
University of Minnesota
New York Public Library
New York University



INDEPENDENT AUDITOR'S REPORT

Board of Directors
Center for Research Libraries
Chicago, Illinois

We have audited the accompanying statement of financial position of CENTER FOR RESEARCH LIBRARIES (an Illinois not-for-profit corporation) as of June 30, 2001, and the related statements of activities and cash flows for the year then ended. These financial statements are the responsibility of the Organization's management. Our responsibility is to express an opinion on these financial statements based on our audit. The financial statements of the Center for Research Libraries as of June 30, 2000, were audited by other auditors whose report dated August 25, 2000, expressed an unqualified opinion on those statements.

We conducted our audit in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Center for Research Libraries as of June 30, 2001, and the changes in its net assets and cash flows for the year then ended in conformity with generally accepted accounting principles.

Glean Ingram + Company Ltd.

Chicago, Illinois
August 8, 2001



**THE CENTER
FOR RESEARCH
LIBRARIES**

STATEMENT OF FINANCIAL POSITION

(An Illinois not-for-profit corporation)

June 30, 2001

CURRENT ASSETS:

Cash and cash equivalents	\$ 2,620,130
Less fiduciary funds – Note 8	<u>(282,516)</u>
	2,337,614

Accounts receivable	42,980
Grants receivable	110,849
Prepaid expenses	31,364
Escrow fund	211,039
Current portion of deferred financing costs	<u>5,592</u>
Total current assets	<u>2,739,438</u>

OTHER ASSETS:

Collections – Note 3	–
Property and equipment – Note 4	6,287,625
Long term portion of deferred financing costs	<u>128,621</u>
Total other assets	<u>6,416,246</u>
Total assets	<u>\$ 9,155,684</u>

CURRENT LIABILITIES:

Accounts payable and accrued expenses	\$ 565,446
Deferred revenue	2,605,364
Current portion of notes payable	<u>94,740</u>
Total current liabilities	<u>3,265,550</u>

NONCURRENT LIABILITIES:

Loan payable – Note 5	<u>2,210,524</u>
Total liabilities	<u>5,476,074</u>

NET ASSETS:

Unrestricted	3,499,383
Temporarily restricted – Note 7	<u>180,227</u>
Total net assets	<u>3,679,610</u>
Total liabilities and net assets	<u>\$ 9,155,684</u>

The accompanying notes are an integral part of this statement.



**THE CENTER
FOR RESEARCH
LIBRARIES**

STATEMENT OF ACTIVITIES

For the year ended

June 30, 2001

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>Total</u>
Revenue and other support:			
Membership fees	\$ 4,012,330	\$	\$ 4,012,330
FNMP fees	7,450		7,450
FNMP sales	162,337		162,337
Microform sales	31,357		31,357
Access service sales	19,576		19,576
Grants	13,666	258,028	271,694
Investment income	93,498	10,845	104,343
Miscellaneous income	16,917		16,917
Net assets released from restrictions:			
Satisfaction of program restrictions	<u>187,274</u>	<u>(187,274)</u>	<u>—</u>
Total revenue and other support	<u>4,544,405</u>	<u>81,599</u>	<u>4,626,004</u>
Expenses:			
Program activities -			
Global Newspapers	792,400		792,400
Serials	642,196		642,196
International Dissertations	391,393		391,393
Repository Collections	613,822		613,822
CRL Area Studies	376,239		376,239
Grants	<u>187,274</u>	<u>—</u>	<u>187,274</u>
Total program activities	3,003,324		3,003,324
Management and general	752,819		752,819
Fundraising	<u>12,442</u>		<u>12,442</u>
Total expenses	<u>3,768,585</u>	<u>—</u>	<u>3,768,585</u>
Change in net assets before changes related to collection items not capitalized	775,820	81,599	857,419
Collections – Note 3	<u>691,037</u>	<u>32,322</u>	<u>723,359</u>
Change in net assets	<u>84,783</u>	<u>49,277</u>	<u>134,060</u>
Net assets as previously reported	3,331,758	467,727	3,799,485
Prior period adjustment – Note 11	<u>82,842</u>	<u>(336,777)</u>	<u>(253,935)</u>
Net assets at beginning of year – as restated	<u>3,414,600</u>	<u>130,950</u>	<u>3,545,550</u>
Net assets at end of year	<u>\$ 3,499,383</u>	<u>\$ 180,227</u>	<u>\$ 3,679,610</u>

The accompanying notes are an integral part of this statement.



**THE CENTER
FOR RESEARCH
LIBRARIES**

STATEMENT OF CASH FLOWS

For the year ended June 30, 2001

Cash flows from operating activities:

Member and nonmember fees received	\$ 4,326,343
Grants and contributions received	335,586
Interest received	104,343
Cash paid to suppliers and employees	(3,138,623)
Interest paid	(96,941)
Net cash provided by operating activities	<u>1,530,708</u>

Cash flows from investing activities:

Purchase of collections	(723,359)
Sale of investments	188,961
Purchase of property	(118,303)
Net cash used in investing activities	<u>(652,701)</u>

Cash flows from financing activities:

Repayment of loans	(94,737)
Net cash used in financing activities	<u>(94,737)</u>
Net increase in cash and cash equivalents	783,270

Cash and cash equivalents – beginning of year

1,554,344

Cash and cash equivalents – end of year

\$ 2,337,614

Reconciliation of change in net assets to net cash provided by operating activities:

Change in net assets **\$134,060**

Adjustments to reconcile change in net assets to net cash provided by operating activities –

Collections accessions	723,359
Depreciation expense	432,550
Decrease in accounts receivable	121,954
Decrease in grants receivable	63,892
Decrease in prepaid expenses	16,298
Decrease in deferred financing costs	11,878
Increase in accounts payable and accrued expenses	72,295
Decrease in deferred revenue	(45,578)
Total adjustments	<u>1,396,648</u>
Net cash provided by operating activities	<u>\$ 1,530,708</u>

The accompanying notes are an integral part of this statement.



THE CENTER FOR RESEARCH LIBRARIES

NOTES TO FINANCIAL STATEMENTS

For the year ended June 30, 2001

1. ORGANIZATION AND NATURE OF ACTIVITIES:

The Center for Research Libraries (Center) is a membership consortium of institutions with significant academic and research libraries. The Center's mission is to foster and advance scholarly and scientific research through cost-effective, cooperative programs that provide reliable access through traditional and electronic means to unique and unusual collections of library materials that are in all appropriate formats, international in scope and comprehensive in disciplines. A majority of the Center's revenue is derived from membership fee assessments. Other revenue and support consists of grants and sales of materials reproduced.

The Center qualifies under Section 501(c)(3) of the Internal Revenue Code and is exempt from income taxes, except on unrelated business income.

PROGRAM ACTIVITY AND SUPPORTING SERVICES CONSIST OF THE FOLLOWING:

Global Newspapers -

Global Newspapers improves access to global newspapers for scholars and other users worldwide by developing, managing and preserving at the Center a representative collection of newspapers and by stimulating cost savings through collaboration among a variety of partners to expand the long-term availability of newspapers.

Serials -

Serials augments the pool of serial titles available for scholarly research by using cooperative collection development to reduce local subscription and space costs.

International Dissertations -

International Dissertations provides comprehensive access to doctoral dissertations submitted to institutions outside the United States and Canada, regardless of format, subject and location.

Repository Collections -

The Repository Collections component has four major subcomponents -

- a) The Microform and Reprint Collections Component provides access to a large body of material that is either in low use locally or not available in its original form so as to reduce total costs.
- b) The purpose of the Collections of Record Component is to gather dispersed materials into an accessible, unified, comprehensive collection to reduce local space costs.
- c) The purpose of the Retrospective Serial Titles Component is to backup emerging electronic serials files and reduce the local shelving costs of libraries that have discarded the paperback files.
- d) The Rarely-Held Monographs Component gathers little used, retrospective monographs into an accessible and unified collection to reduce local space costs.

CRL Area Studies -

CRL Area Studies activities provide access to a pool of materials that are beyond the means of any individual area studies program. Without this extended program activity, these acquired materials would not otherwise be preserved.

Grants -

Includes special projects of varying activities to collect, preserve and catalog materials based on grantor terms. Grants also are used to investigate alternative media storage techniques.

Management and General -

Includes the functions necessary to maintain an equitable employment program; to ensure an adequate working environment; to provide coordination and articulation of the Center's program strategy through the Office of the President; to secure proper administrative functioning of the Board of Directors and to manage the Center's financial and budgetary responsibilities.

Fundraising -

Provides the structure necessary to encourage and secure financial support from individuals, foundations and the government.



NOTES TO FINANCIAL STATEMENTS

For the year ended June 30, 2001

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES:

A. Basis of presentation -

The Center reports information regarding its financial position and activities according to three classes of net assets: unrestricted net assets, temporarily restricted net assets, and permanently restricted net assets. There are no permanently restricted net assets at June 30, 2001.

B. Basis of accounting -

The Center prepares its financial statements on the accrual basis of accounting under which revenue is recognized when earned rather than when received, and expenses are recognized when incurred rather than paid. The accrual basis of accounting requires the use of estimates and assumptions. Accordingly, actual results may differ from those estimated.

C. Cash and cash equivalents -

The Center defines cash equivalents as all highly liquid investments with a maturity of three months or less at the date of acquisition.

D. Property and equipment -

Property and equipment is recorded at cost and is depreciated over the estimated useful lives of the assets using the straight-line method. Expenditures for maintenance and repairs which do not extend the useful life of the assets are charged to expense.

Estimated useful lives are as follows -

Building and improvements	30
Furniture and equipment	3 – 7

E. Inexhaustible collections and books -

The collections, which were acquired through purchases and contributions since the organization's inception, are not recognized as assets on the statement of financial position. Purchases of collection items are recorded as decreases in unrestricted net assets in the year in which the items are acquired, or as decreases in temporarily or permanently restricted net assets if the assets used to purchase the items are restricted by donors. Contributed collection items are not reflected on the financial statements. Proceeds from deaccessions or insurance recoveries are reflected as increases in the appropriate net asset class.

F. Deferred financing costs -

All of the costs incurred in obtaining the \$2,400,000 loan to the Center have been deferred and will be amortized over the life of the loan starting in fiscal 2001. The cost amortized in fiscal 2001, amounted to \$11,878.

G. Contributions -

Contributions received are recorded as unrestricted, temporarily restricted or permanently restricted support depending on the existence or nature of any donor imposed restrictions on the use of the funds. Contributions reported as temporarily restricted support are reclassified to unrestricted net assets when the restrictions have been satisfied and are reported in the Statement of Activities as net assets released from restrictions.

H. Revenue recognition -

Membership dues are recognized as revenue during the membership year. Dues received in advance of the membership year are accounted for as deferred revenue at year-end.

I. Allocation of expenses -

The costs of providing the Center's various programs and supporting services have been summarized on a functional basis in the Statement of Activities. Accordingly, certain costs have been allocated among the programs and supporting services on the basis of estimates by management.

3. COLLECTIONS:

The Center's Collections are made up of a wide array of publications and print material, international in scope, that are held for educational, research, scientific and curatorial purposes. Each of the items is catalogued, preserved, and cared for, and activities verifying the existence and assessing their condition are performed continuously. The Collections are subject to a policy that requires proceeds from their sales to be used to acquire other items for Collections. No Collection items were deaccessioned through sales in 2001.



THE CENTER FOR RESEARCH LIBRARIES

NOTES TO FINANCIAL STATEMENTS

For the year ended June 30, 2001

4. PROPERTY AND EQUIPMENT:

Property and equipment consist of the following –

Land	\$ 128,446
Building and furniture	10,072,901
Equipment	1,145,585
	<u>11,346,932</u>
Less – accumulated depreciation	<u>(5,059,307)</u>
	<u>\$ 6,287,625</u>

Depreciation expense was \$432,550 for the year ended June 30, 2001.

5. LOAN PAYABLE:

In June 2000, the Center obtained a \$2,400,000 loan under the Illinois Educational Facilities Authority's Cultural Pooled Financing Program. The loan is secured by the Center's property and also by a letter of credit for 110 percent of the loan amount. The letter of credit expires in 2003 and no balances are currently drawn. The loan is also subject to a debt service covenant referred to as the "Keepwell Agreement". The covenant has been met for the year ended June 30, 2001. The loan is to be repaid in monthly installments of \$7,895, plus interest at a variable rate, with a final maturity in 2025.

Principal payments by year are as follows –

2002	\$ 94,740
2003	94,740
2004	94,740
2005	94,740
2006	94,740
2007 and thereafter	1,831,564
	<u>\$ 2,305,264</u>

6. LEASES:

The Center has entered into three operating leases with unrelated parties for office equipment expiring in 2001 and 2004.

Future minimum lease payments are as follows –

2002	\$18,144
2003	14,508
2004	14,508
	<u>\$47,160</u>

7. TEMPORARILY RESTRICTED NET ASSETS:

Temporarily restricted net assets consist of grants received from private foundations and the federal government to support microform projects and the purchase of equipment to automate library materials.



NOTES TO FINANCIAL STATEMENTS

For the year ended June 30, 2001

8. FIDUCIARY FUNDS:

The Center serves as a fiscal agent for groups involved in area study activities. The groups are: Cooperative Africana Microform Project (CAMP), Latin American Microform Project (LAMP), Middle East Microform Project (MEMP), South Asia Microform Project (SAMP), Southeast Asia Microform Project (SEAMP), and Slavic and East European Microform Project (SEEMP). The area study groups assess fees to their members and incur expenditures for the acquisition of International library materials, the majority of which are contributed to the Center. The activity for 2001 is as follows -

	June 30, 2000	Receipts	Disburse- ments	June 30, 2001
CAMP	\$ 42,348	\$ 55,265	\$ 47,418	\$ 50,195
LAMP	87,277	36,430	30,758	92,949
MEMP	4,393	16,349	7,837	12,905
SAMP	52,508	16,800	12,405	56,903
SEAMP	52,364	19,200	33,639	37,925
SEEMP	34,651	11,496	14,508	31,639
	<u>\$273,541</u>	<u>\$155,540</u>	<u>\$146,565</u>	<u>\$282,516</u>

9. PENSION PLAN:

The Center's Retirement Plan is a defined contribution, money purchase pension plan. Under this plan, funds contributed by the employer and participating employees are used to purchase retirement annuity and death benefit policies for the participants through the Teachers Insurance and Annuity Association and/or the College Retirement Equities Fund. Contributions are based on the participants' compensation. Pension expense for fiscal 2001 was \$121,691.

10. CONCENTRATION OF CREDIT RISK:

The Center maintains cash balances at two financial institutions. The balances are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$100,000 per institution. Uninsured balances were \$634,772 at June 30, 2001. The Center attempts to minimize this risk by maintaining funds in reputable financial institutions.

11. PRIOR PERIOD ADJUSTMENT:

The Center determined that the amount previously recorded as temporarily restricted net assets for the Area Studies activities were more appropriately recorded as fiduciary funds. Opening net assets were adjusted to reflect this correction.



**INDEPENDENT
AUDITOR'S REPORT**
ON ACCOMPANYING INFORMATION

Our audit was made for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying information on pages 33 and 34 is presented for the purposes of additional analysis and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audits of the basic financial statements and, in our opinion, is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

Glean Ingram + Company Ltd.

Chicago, Illinois
August 8, 2001



**THE CENTER
FOR RESEARCH
LIBRARIES**

**SCHEDULE OF FUNCTIONAL EXPENSES AND
COLLECTIONS EXPENDITURES**

For the year ended June 30, 2001

	PROGRAM SERVICES						SUPPORTING SERVICES		
	Global Newspapers	Serials	International Dissertations	Repository Collections	CRL Area Studies	Grants	Management and general	Fundraising	Total
Support services:									
OCLC	\$ 5,694	\$ 5,694	\$ 5,694	\$ 949	\$ 5,694	\$	\$	\$	\$ 23,725
RLN/RLG	1,277	1,277	1,277	1,277	1,277				6,385
Innovative	8,987	8,987	8,987	8,987	8,987				44,935
Microform costs	164,922	453			1,359	7,323			174,057
Other support	31,852	32,068	19,287	25,541	18,161	26,446	121,573	1,251	276,179
	<u>212,732</u>	<u>48,479</u>	<u>35,245</u>	<u>36,754</u>	<u>35,478</u>	<u>33,769</u>	<u>121,573</u>	<u>1,251</u>	<u>525,281</u>
Personnel and operations:									
Consumable supplies	13,683	14,051	7,897	11,376	6,953	3,216	10,680	130	67,986
Memberships	3,286	3,226	494	1,958	882		11,700		21,546
Insurance	5,519	7,755	4,492	8,451	1,326		2,156	28	29,727
Travel	2,254	2,212	329	1,339	597	13,639	7,757		28,127
Business expense	63	62	44	86	97	131	1,161	1	1,645
Printing	2,001	2,001	2,001	2,001	2,001	1,200	26,177		37,382
Postage and delivery	32,897	32,689	21,706	11,645	11,641	333	4,443	42	115,396
Telecommunications	3,165	2,854	1,802	2,108	2,110	67	5,074	62	17,242
Board and council expense							48,230		48,230
Title VI expenditures					6,000				6,000
Member relations							2,040		2,040
Exhibits expense							11,540		11,540
Special events expense							257		257
Personnel	363,835	317,682	194,768	310,349	269,318	99,314	431,712	10,037	1,997,015
	<u>426,703</u>	<u>382,532</u>	<u>233,533</u>	<u>349,313</u>	<u>300,925</u>	<u>117,900</u>	<u>562,927</u>	<u>10,300</u>	<u>2,384,133</u>
Plant and other:									
Equipment leases	5,870	5,285	3,278	3,866	3,856		9,101	119	31,375
Service contracts	10,778	14,374	8,383	15,173	3,225		5,977	78	57,988
Repairs	936	1,315	762	1,433	225		365	5	5,041
Utilities	37,469	52,645	30,498	57,370	9,003		14,635	191	201,811
	<u>55,053</u>	<u>73,619</u>	<u>42,921</u>	<u>77,842</u>	<u>16,309</u>		<u>30,078</u>	<u>393</u>	<u>296,215</u>
Total operating expenses	<u>694,488</u>	<u>504,630</u>	<u>311,699</u>	<u>463,909</u>	<u>352,712</u>	<u>151,669</u>	<u>714,578</u>	<u>11,944</u>	<u>3,205,629</u>
Interest expense (including line-of-credit fees totaling \$26,817)	24,212	34,018	19,707	37,071	5,818		9,457	123	130,406
Depreciation – building	57,570	80,887	46,859	88,147	13,833		22,485	293	310,074
Depreciation – equipment	16,130	22,661	13,128	24,695	3,876	35,605	6,299	82	122,476
Total expenses	<u>\$ 792,400</u>	<u>\$ 642,196</u>	<u>\$391,393</u>	<u>\$613,822</u>	<u>\$ 376,239</u>	<u>\$187,274</u>	<u>\$ 752,819</u>	<u>\$ 12,442</u>	<u>\$3,768,585</u>
Collection expenditures - not capitalized:									
Materials	\$155,892	\$347,850	\$51,790	\$119,377	\$7,000	\$32,322	\$	\$	\$714,231
Preservation	3,284	1,642		985			657		6,568
Reference works							2,560		\$2,560
	<u>\$ 159,176</u>	<u>\$ 349,492</u>	<u>\$ 51,790</u>	<u>\$120,362</u>	<u>\$ 7,000</u>	<u>\$32,322</u>	<u>\$ 3,217</u>	<u>\$</u>	<u>\$723,359</u>

The accompanying notes are an integral part of this statement.



**THE CENTER
FOR RESEARCH
LIBRARIES**

**SCHEDULE OF PROGRAM REVENUE AND
EXPENSES – GRANTS**

For the year ended June 30, 2001

	Mellon Foundation Historian's Conference	Mellon Foundation JSTOR Deposits	Mellon/Delmas Foundation Collection Assessment Project	International Conference on Newspapers	Department of Education Digital South Asian Library	Mellon Foundation Brazil Digitizing Project	H. Luce Foundation Vietnam Microforming	Automation	Russian Cataloging	Total
Revenue:										
Grants	\$ 27,500	\$ 24,000	\$ 42,500	\$ 74,887	\$ 83,243	\$	\$	\$ 5,343	\$ 555	\$ 258,028
Investments	480		718			2,553	7,094			10,845
Grant revenue	<u>\$ 27,980</u>	<u>\$ 24,000</u>	<u>\$ 43,218</u>	<u>\$ 74,887</u>	<u>\$ 83,243</u>	<u>\$ 2,553</u>	<u>\$ 7,094</u>	<u>\$ 5,343</u>	<u>\$ 555</u>	<u>\$ 268,873</u>
Expenditures:										
Grant library materials – not capitalized	\$	\$	\$	\$ 1,050	\$ 27,999	\$	\$ 3,273	\$	\$	\$ 32,322
Microform costs				7,323						7,323
Other support				7,446	19,000					26,446
Supplies					594		2,622			3,216
Travel			1,418	9,116	3,105					13,639
Other business expenses			75	25	22	9				131
Printing						1,200				1,200
Telecommunications	67									67
Postage and delivery		318		15						333
Personnel				49,912	45,867	3,535				99,314
Depreciation expense					35,605					35,605
Total grant expenditures	<u>\$ 67</u>	<u>\$ 318</u>	<u>\$ 1,493</u>	<u>\$ 74,887</u>	<u>\$ 132,192</u>	<u>\$ 4,744</u>	<u>\$ 5,895</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 219,596</u>

The accompanying notes are an integral part of this statement.

THE BRIDGES



Trajan had this bridge constructed in 103-105 AD at Drobeta, the modern-day Turnu-Severin in Romania. This permanent passage over the Danube was constructed by Apollodorus of Damascus during the emperor's second campaign against the Dacians. It was reputed to be the longest bridge of Roman times. Some of the 20 stone pillars supporting the wooden bridge, which measured approximately 1,000 meters in length, can still be seen today.

For years, the Golden Gate Bridge held the title of longest suspension bridge in the world, although this title has been eclipsed by the Verrazano Narrows and Mackinac Bridges among others. On May 28, 1938 Golden Gate Bridge opened to vehicular traffic at twelve o'clock noon when President Franklin D. Roosevelt pressed a telegraph key in the White House to announce the event to the world.

THE BRIDGES



Tower Bridge, a marvel of modern engineering, opened in 1894, to become London's most recognizable landmark. Despite its mock-Gothic appearance, Tower Bridge was actually a pioneering steel-framed structure. The Tower Bridge began construction in 1885 and was open 9 years later. It is the only bridge in London that can be raised.



The Bosphorus connects the Black Sea with the Mediterranean; it is thought to have been a dry riverbed as recently as 7,600 years ago. The Bosphorus Bridge, one of the world's longest suspension bridges (3,524 ft/1,074 m long; opened 1973) spans the strait at Istanbul, where travelers can cross from Europe to Asia. A second bridge was completed in 1988. Today, some 200,000 vehicles cross the strait daily to and from Istanbul. The bridge's span length of 1074 meters and its 64 meter clearance for shipping make it one of Europe's largest bridges.



The Tsing Ma Bridge links Tsing Yi to Ma Wan, China. Its main span of about 1,377 metres makes it the world's longest suspension bridge carrying both road and rail traffic.



Sydney Harbour Bridge is the world's largest (but not longest) steel arch bridge, and, in its beautiful harbour location, has become a renowned international symbol of Australia. The official opening day on Saturday 19 March 1932 was a momentous occasion, drawing remarkable crowds (estimated between 300,000 and one million people) to the city and around the harbor foreshores. Its total length including approach spans is 1149 meters and its arch span is 503 meters. The 49 meter wide deck makes Sydney Harbour Bridge the widest longspan bridge in the world.



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