

SEA CHANGE

Annual Report Fiscal Year 2017: (July 1, 2016–June 30, 2017)



The Center for
Research
Libraries

GLOBAL
RESOURCES
NETWORK



The Center for Research Libraries (CRL)
is a member-governed, nonprofit consortium of universities,
colleges, and independent research libraries.

MISSION

*The Center for Research Libraries supports advanced
research and teaching in the humanities, sciences, and
social sciences by ensuring the survival and availability of
primary source materials vital to those activities.*

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Message from the Chair

The recent announcement that a huge Antarctic ice shelf had broken off made frighteningly real the possibility that climate change would alter the sea itself. Libraries are contending with their own changing seas: reduced funding, rising costs for serials and monographs, shrinking space, new demands to archive and curate data, the race to preserve endangered materials from around the world, pressing demands for digitization, and the incoming tide of open access. This situation underscores the value of collective action: institutions sharing the burden of change and using their collective strengths and intelligence to craft workable responses.

CRL has been a leader in this kind of collective action for decades. CRL President Bernard Reilly and the Board are now building on that tradition in ways that constitute a shift in direction but not in mission. Last year members ratified the move to make digitized materials derived from public domain or released rights content available through Open Access, linking CRL to that movement. CRL has also committed itself to expanding future access to print serials and primary sources in the humanities and social sciences, enlarging on its partnership with the Linda Hall Library. That partnership demonstrated how collaboration can expand scholarly access to research materials at a reasonable cost.

In a similar vein, CRL now aggressively negotiates favorable terms for licensing online resources and helps libraries to develop useful strategies to acquire and archive large databases and datasets.

Under Reilly's leadership, CRL has built on the values of the Global Resources and Area Materials programs by expanding electronic access to primary source materials for area and international studies. CRL recently secured a large grant from The Andrew W. Mellon Foundation to work with European partners to expand digital access to Latin American and Caribbean materials.

All of these initiatives are designed to help member libraries deal with the significant changes confronting them.

Of course, the Board itself is subject to healthy change. In April both Janice Welburn, Dean of University Libraries at Marquette University and I were succeeded on the Board by two new members, David Marshall, Executive Vice Chancellor at the University of California, Santa Barbara, and Sarah Pritchard, Dean of Libraries at Northwestern University, who will help advance the work of the Board through this period of sea change.

A handwritten signature in black ink, appearing to read "S. Waugh". The signature is fluid and cursive, written over a white background.

Scott Waugh

*Executive Vice Chancellor and Provost,
University of California, Los Angeles*



President's Report

"Sea Change"

CRL today finds itself at the center of an immense generational challenge, much as it did when it was founded in 1949. In the depths of a post-World War II recession, the CRL community confronted a vastly expanded research agenda for U.S. universities. Today the community faces a "sea change" brought about by the digital revolution.

As knowledge and information migrate to the digital realm, the supply chain for tangible scholarly materials is beginning to fail: microfilm production is being discontinued or sharply reduced by national libraries and publishers; and the vast collections amassed over three centuries by North American libraries are vulnerable to the relentless pressure on many universities to withdraw or divest at print.

In December 2016 we posted CRL's *Agenda for Shared Print, 2017–2026*, a blueprint for cooperative stewardship of print serials in North America. In May 2017 we renewed for another ten years CRL's partnership with the Linda Hall Library of Science, Engineering, and Technology to preserve and provide access to nearly 90,000 STE serials. And CRL continued to provide analysis of holdings data for shared print programs and maintain the PAPR database to support informed local stewardship decisions.

To meet the constantly rising expectations of researchers, CRL and its partners digitized nearly seven million new pages of content this year and delivered over 26,000 articles.

Meanwhile, the oceans of digital information and data produced by the media, governments, NGOs, and corporations are overwhelming the capabilities of libraries and archives. The terms of access to economic, political, geospatial, and demographic information now favor corporate and commercial clients over academic scholars and policy researchers. Therefore CRL has invested in evaluating and licensing those types of resources and began to work with other national-level licensing bodies to gain new leverage for libraries in this market, while supporting library-based providers like LLMC and Reveal Digital.

Action on so many fronts required CRL to draw for the third consecutive year upon its reserve funds. Not addressing the real and looming threats to the survival of critical evidence and information today was not an option. CRL's mission, to support original research in the humanities and social sciences, remains the same today as it was in 1949. CRL libraries commit substantial resources each year to support this mission, and many contribute time and expertise as well to CRL governance. Particular credit is due to CRL's Board of Directors, chaired by Scott Waugh of UCLA and CRL's Collections and Services Policy Committee, led by Martha Hruska of UC San Diego. If not for the efforts of the CRL community, academic scholarship and the world's memory would be the poorer.

A handwritten signature in black ink that reads "Bernard F. Reilly". The signature is written in a cursive, slightly stylized font.

Bernard F. Reilly

President



\$2.2 million invested in *new library materials* and *digitization*



6.9 million pages digitized to support research at CRL libraries



584 titles acquired on request for researchers



119 libraries represented at *CRL webinars and forums*



110 libraries voted on *purchase proposals*



131 libraries took up *CRL electronic resource offers*

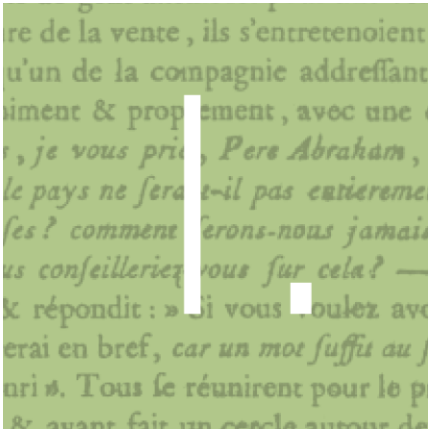


\$38,735 the mean *savings* for a library taking up a *CRL eResource offer*

Acquisitions from 79 countries in FY17:



- India (540 items)
- Germany (538 items)
- Japan (173 items)
- United States (165 items)
- Thailand (156 items)
- Pakistan (116 items)
- Russia (111 items)
- Bangladesh (68 items)
- France (48 items)
- Spain (48 items)



Identifying and Preserving Critical Source Materials

Programs supported by CRL continue to play an important role in promoting the survival and long-term accessibility of essential source materials for area and international studies. Nearly fifty titles—ranging from the *Guantanamo Gazette* to recent news publications from Uzbekistan—were selected by these programs for microfilm preservation and/or digitization this year. The longstanding Area Materials Projects (AMPs) and the Global Resources programs involve communities of interest working under the CRL umbrella to make accessible important (and often at-risk) documentation from all world regions. CRL promotes cross-fertilization and operational economies of scale for the programs, including support for digitization. Financial incentives CRL provides for digitization projects were taken up this year by LAMP, MEMP, SAMP, and SEAM. Additionally, the South Asia Open Archives project is a notable new initiative to address the current scarcity of digital resources for South Asian studies.

In October 2016 CRL inaugurated the Global Collections Initiative, funded in part by The Andrew W. Mellon Foundation, in an effort to dramatically expand access to primary source materials and data for area and international studies. The initial two-year phase will focus on materials from

Latin America, the Caribbean, and related diaspora communities. CRL is undertaking this major new initiative in cooperation with national organizations from the U.S., Canada, Germany, and the U.K., as well as partner libraries and institutions in Latin America.

Project Ceres, a partnership with the United States Agriculture Information Network (USAIN) and the Agriculture Network Information Center (AgNIC), continued to support the preservation and digitization of historical serials in the field of agriculture. Awards have totaled nearly \$250,000 over five years. In 2017 funding went to six institutions for digitizing and local archiving of agricultural extension and experiment station publications. The TRAIL project, a collaborative effort among over 40 universities, colleges and individual members, scanned nearly 50,000 pages of technical publications produced by various U.S. government agencies.

CRL also renewed its Global Resources Law Partnership with LLMC. The three-year agreement strengthens provisions for digital access and archiving of historical legal materials prioritized by researchers at CRL institutions, including U.S. state legislative journals and legal documentation from Latin America, Africa, South Asia, and Eastern Europe.

Materials Preserved by CRL-Supported Collaborative Global Programs

Africa

CAMP preserved the following on microfilm:

Ethiopia

Sub-Saharan Informer (2002–2012)

Lesotho

Lesotho Times (2008–2013)

Liberia

Focus (2013–2014)

Heritage (2013–2014)

Informer (2013–2014)

National Chronicle (2013–2014)

The News (2013–2014)

Nigeria

Daily Trust (2004, 2006–2007)

Latin America

LAMP preserved the following on microfilm (or digitized where noted):

Brazil

Fundo Abdias Nascimento [six additional reels from this archive]

Cuba – digitized

Daily Gazette (Guantanamo Bay) (1980–1987)

La Gaceta de la Habana (1849–1852, 1866–1868, 1870–1872, 1876–1897)

GITMO Gazette (1966–1968)

GITMO Review (1963–1964)

Guantanamo Bay Gazette (1991–2017)

Guantanamo Daily Gazette (1989–1991)

Guantanamo Gazette (1968–1980, 1987–1989)

Indian (Guantanamo Bay) (1948–1959)

Sunday Supplement (Guantanamo Bay) (1961–1963)

Mexico

Diario de Juarez (2011–2012)

The Middle East and Diaspora

MEMP preserved the following on microfilm:

UK

Al-Quds al-Arabi (2014–2016)

U.S.

Subh-i Iran (1997–1998, 2001–2002)

South Asia

SAMP preserved the following on microfilm:

Pakistan

Al-Fazl (1983, 2000–2002)

Sri Lanka

Times of Ceylon (1933–1935)

The South Asia Open Archives (SAOA) digitized the following:

India

Ādyera Gambhīrā Bāṅgālāra dharmma o sāmājika itihāsera eka adhyāya (1912)

Bharata Bhagini (1901–1906)

Bhikṭoriyā–yuge Bāṅgālā–sāhitya (1911)

Hārāṇacandra Rakshita praṇīta (1911)

Gāna sarala svaralipisambalita (1902)

Kākī–mā grāhasṭha upanyāsa (1909)

Mandirera kathā (1921)

Sābāsa–Bāhālī Amṛtalāla Basu (1906)

Southeast Asia

SEAM preserved the following on microfilm (or digitized where noted):

Burma

Ka non cak mhu ci pva re gyanay (2004–2005)

Khyan tvan ekari sa tan lvha (2006–2010)

Philippines

Espesyal Komiks (1952–1961) – digitized

Pilipino Komiks (1949–1962) – digitized

Slavic and Eastern Europe

SEEMP preserved the following on microfilm:

Kazakhstan

Ana Tili (1990–2010)

Zang Gazeti (1996–2011)

Kyrgyzstan

Komsomolets Kirgizii (1985–1991)

Uzbekistan

Adolat (1996–2007)

Ėsh leninchi (1981–1991)

Ezuvchi (1997–2005)

Fidokor (1999–2007)

Mahalla (1998–2009)

Markazii Osie (1999–2004)

Millii Tiklanish (1995–2007)

Uzbekiston adabiet va san'ati (1981–2009)

Uzbekiston madaniyati (1976–1981)

Vatanparvar (1992–2009)

United States

TRAIL preserved the following in digital format:

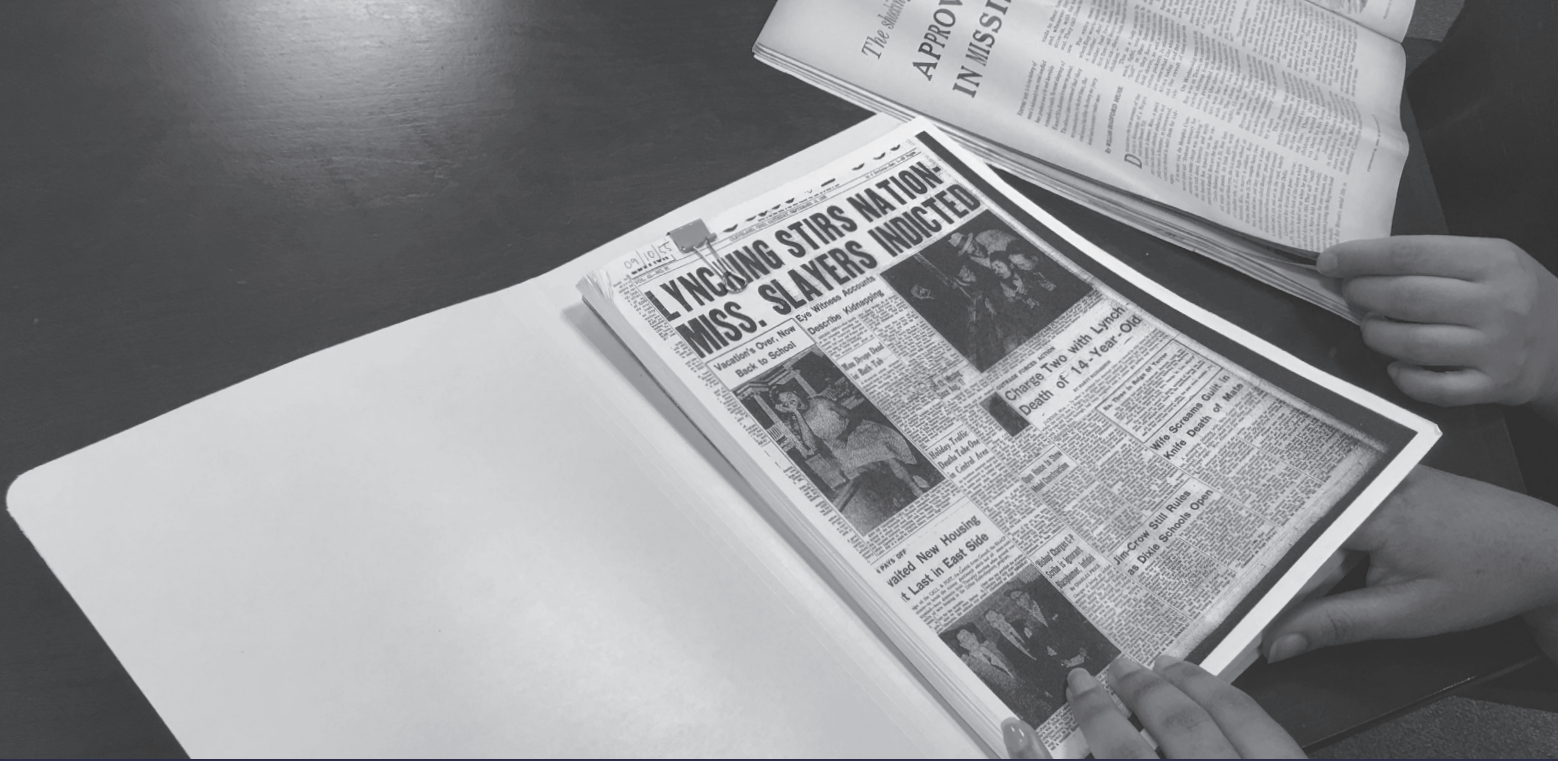
U.S. Atomic Energy Commission, Division of

Technical Information Research Reports

U.S. Naval Radiological Defense Laboratory

Technical Objectives

U.S. Bureau of Mines Information Circulars



THE IMPACT OF CRL: CRL Supports Research on Biased News Coverage of Emmett Till

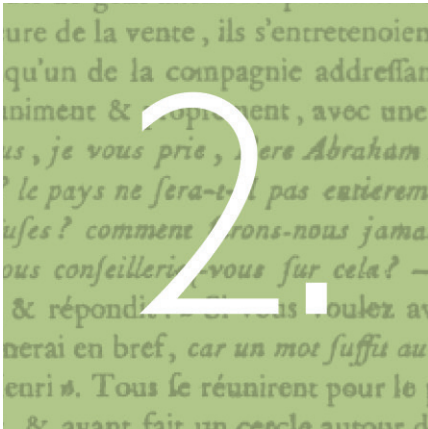
Following the publication of his book *Emmett Till and the Mississippi Press*, Davis Houck, Fannie Lou Hamer Professor of Rhetorical Studies at Florida State University, had students in his “Rhetoric and Race in America” class analyze bias in newspaper coverage of the death of Emmett Till. A black youth from Chicago, Till was abducted and murdered in 1955 for allegedly whistling at a white woman while visiting in Mississippi.

Though the FSU library has an excellent collection of black-owned newspapers, CRL was able to purchase additional papers not represented in the FSU holdings. Ultimately Houck’s class consulted newspapers from 34 states.

Houck says CRL’s newspaper holdings were critical to the project. “We were interested in regional coverage, not just major dailies . . . We were able to tease out media bias across regions by carefully examining word choice, photographs, placements, editorials, and letters to the editor. We found that newspapers in the South and Pacific Northwest regions were particularly unsympathetic to the Till family. For example, Emmett was described as a ‘husky lad’ or even a ‘man,’ while the ‘innocent beauty’ of the young woman was emphasized,” said Houck.

The material gathered proved worth preserving at FSU. Houck explains, “The day that my students turned in their papers, I had FSU Special Collections staff scoop up the thousands of pages of newspaper coverage students had copied. Their work is now housed in our Emmett Till Archive.”

Students reviewing articles on Till’s death (l-r): “Lynching Stirs Nation—Mississippi Slayers Indicted,” *The Cleveland Call*, September 10, 1955, and a ‘confession’ article, “The Shocking Story of Approved Killing in Mississippi,” *Look Magazine*, January 24, 1956.



Expanding Access to Research Materials

CRL demand digitization services continue to be popular, scanning materials in response to 1,600 requests from researchers, and generating over 1.1 million scanned pages from CRL collections (a 55% increase in page volume over FY16). In combination with the collections converted in collaboration with CRL communities of interest and partners including LLMC, CRL generated over 6.9 million new pages of digital content in FY17—by far the highest annual addition to CRL digitized materials to date. With the endorsement of the membership, CRL’s Board resolved that starting in 2017 all digital materials hosted by CRL that derive from public domain source materials (or for which CRL has secured the rights) will be made available without restriction. Access was opened up to more than 25,000 items previously restricted to members only, including issues of newspapers like *The Drover’s Journal* and *Frankfurter Zeitung und Handelsblatt*. Open Access content now represents nearly 47% of the digital collections hosted by CRL.

At the close of the fiscal year, CRL was hosting over 8.1 million digital pages on its DDS (digital delivery system) servers, representing more than 26,500 titles. This included files ingested from undertakings with partners LLMC, Linda Hall Library, and Project Ceres awardees. Moreover, the total digital content either scanned by CRL or converted through partnerships designed to expand access to CRL collections and related materials (including the *World Newspaper Archive* distributed by Readex and *American Periodicals From CRL* available from ProQuest) comes to nearly 37 million pages.

As digital access to collections through CRL grew, loans of physical materials continued to decrease, following a national trend. The total number of requests filled by loan was down by 20%, while the number of requests filled digitally increased a comparable 20%. For the second year in a row, the number of items supplied digitally exceeded physical items sent (in FY17 60% more items were scanned than loaned). In fact, the overall number of requests filled—including article delivery—increased by 26%. The top four users of CRL collections were Harvard University, the University of Notre Dame, the University of Chicago, and McGill University. Article scanning from print journals in the sciences—made possible through CRL’s partnership with the Linda Hall Library of Science, Engineering & Technology—continued to make up a significant portion of document delivery for members. Heaviest use of this service came from the University of Minnesota-Twin Cities, the University of Wisconsin-Madison, Arizona State University, and Oregon State University.

CRL finalized terms with Linda Hall Library for a ten-year renewal of the Global Resources Partnership in Science, Technology, and Engineering, which gives access to the more than 40,000 STE serial titles held by Linda Hall and commits to ongoing digitization of historical titles.

Following discussions with members of the CRL community, other consortia, and funding agencies on the future of print serial collections in the digital age, CRL posted an Agenda for Shared Print, 2017–2026. This outlines a sustained effort to shift the burden of preserving a “critical corpus”

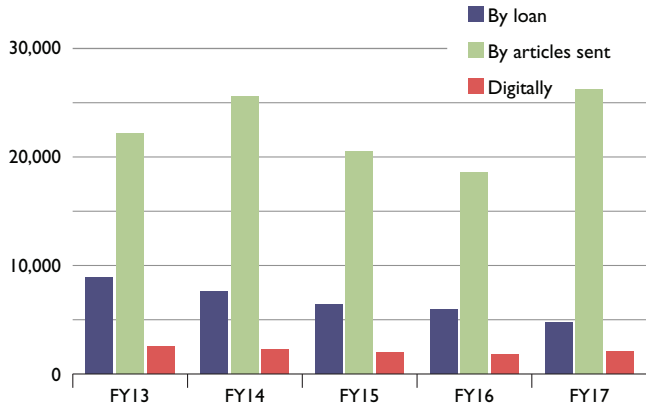
of serial publications from individual libraries to the collective. In June 2017, CRL hosted “Expanding the Shared Collections Network,” a forum where representatives of member institutions weighed in on the scope and potential benefits of the plan. Amy Wood, CRL’s Head of Technical Services, reported on explorations of a methodology for the exchange of title-level data to form a comprehensive list of essential Humanities and Social Sciences serials—a critical corpus. CRL has harvested and analyzed holdings of four major research libraries initially: Cornell, Columbia University, The New York Public Library, and Princeton. This work was supported by a planning grant from The Andrew W. Mellon Foundation.

CRL also added title and issue-level metadata obtained from several digital publishers in the ICON (International Coalition

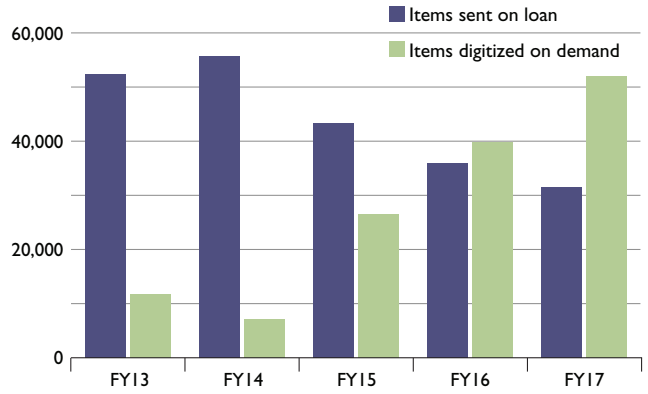
on Newspapers) database. This notably included metadata from ProQuest’s *Historical Newspapers* digital collection and *Gale Newsvault*. Additionally, CRL has reached 67% of the target goal for archiving JSTOR print volumes, now totaling over 90,000 volumes with regular donations in FY17 from the University of Pennsylvania, Dartmouth, Harvard, Grinnell College, the University of Wisconsin, The College of Wooster, the University of Arizona, and other member libraries in the U.S.

Member institutions voting in the 2017 Purchase Proposal program approved CRL acquisition of over \$138,000 worth of microform collections, including several sets from Brill Publishing—*Russian Anarchist Periodicals*, *Dutch Underground Press, 1940–45*, and *Dutch Political Conflict with the Republic of Indonesia, 1945–49*—in addition to Armenian diaspora publications.

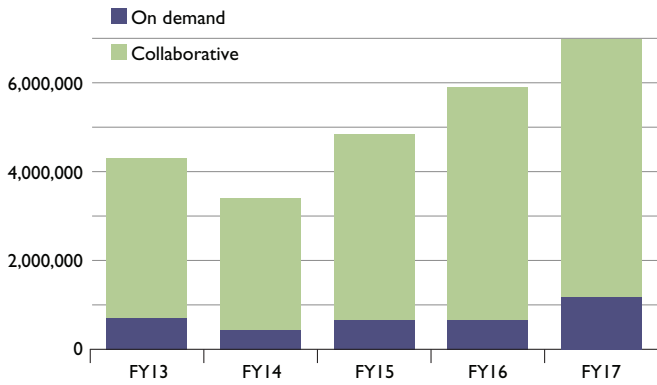
Borrowing Requests Filled, FY13-17



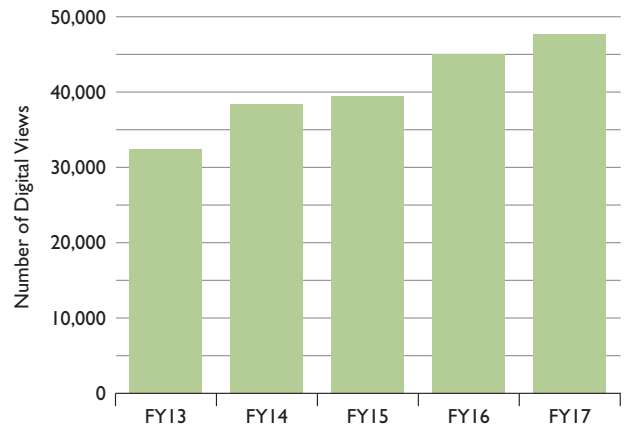
Items Supplied, FY13-17



Pages Digitized for CRL Members, FY13-17

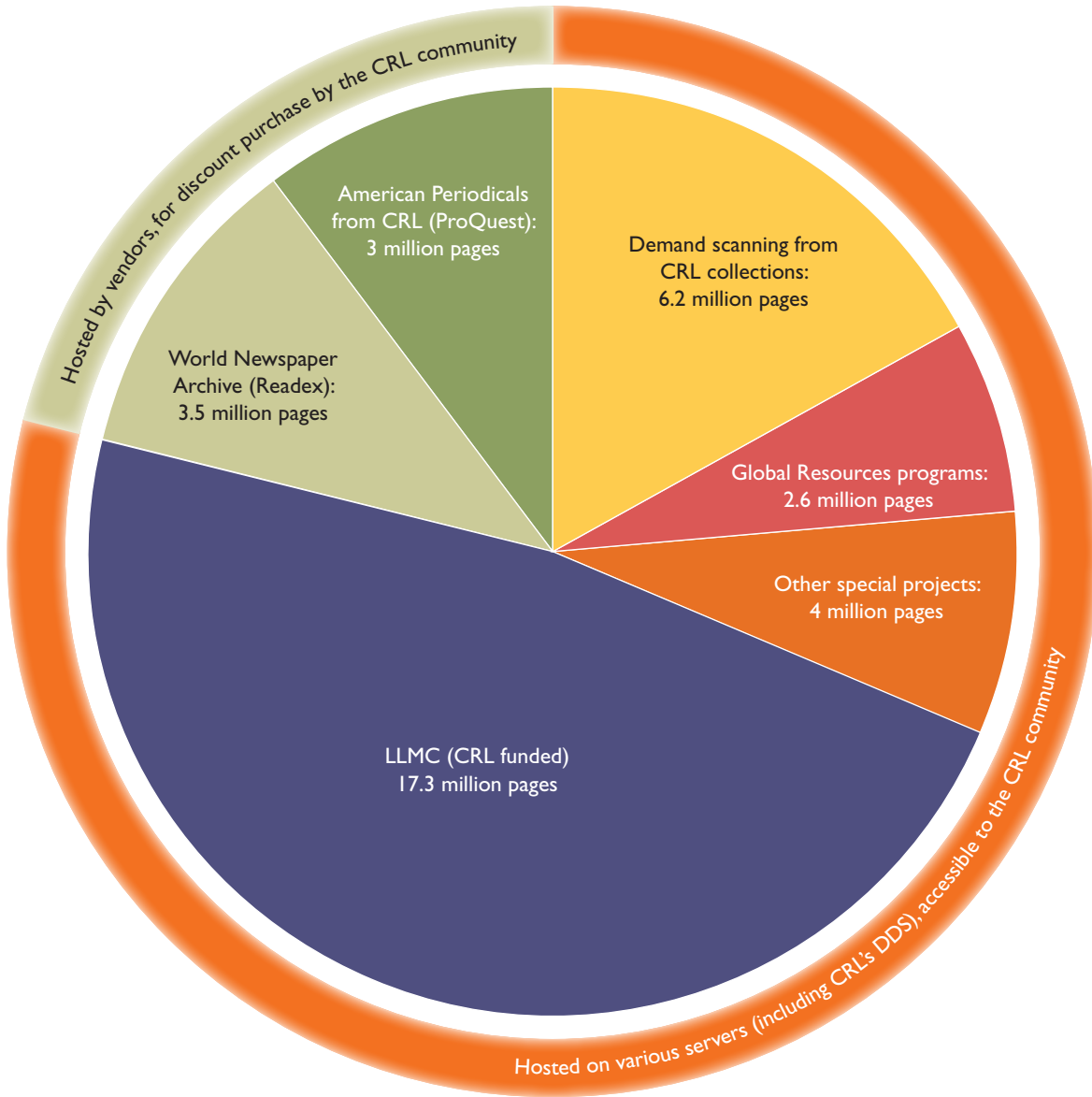


Member Access to Digitized Material Hosted by CRL, FY13-17



CRL Digital Collections at a Glance

36.6 Million Pages Total at Close of FY17



Major Collections Selected for Acquisition: Purchase Proposal Program

al-Waqāʾiʿ al-Miṣriyya (1828–1900)

Microfiche. \$40,000 (less vendor credit).

Library of Congress Field Office, Cairo

The official gazette of Egypt and the first newspaper published in the Middle East. Provides insights into political, social, and cultural life in Egypt and, more broadly, the Middle East. This title will be a three-year purchase, with volumes for 1901–2016 to be purchased over the next two years.

Armenian Diaspora Publications

Microfilm, est. 140 reels. \$20,000. Armenian Cultural Foundation

Periodicals from Constantinople and various European locations, mostly from the late nineteenth and early twentieth centuries. Also includes more recent runs, such as *Hayreniki Dzayn* (Voice of Fatherland), Yerevan (1981–1991), and *Nayiri*, Beirut (1953–1983).

The Dutch Political Conflict with the Republic of Indonesia, 1945–1949 (parts 1–3)

2,203 microfiche. \$19,973. Brill.

This series covers not only the pivotal years 1945–1949, but also extends

into the early 1960s, covering the decolonization of Netherlands New Guinea. It also reaches back into the 1930s and the years of war and occupation (1942–1945). Includes documents from Secret Archives of the General Secretariat and from the General-Commission of the Netherlands Indies.

Dutch Underground Press, 1940–1945

1,839 microfiche. \$18,940. Brill.

The State Institute for War Documentation in Amsterdam was founded on the 8th of May, 1945, having been anticipated during the last years of the German occupation. From documents in the possession of private citizens, the Institute assembled a nearly complete collection of newspapers circulated clandestinely during the war, ranging from handwritten or typed sheets containing simple daily news items to professionally edited and printed papers.

La Nación (1973–1996)

Microfilm, 428 reels. \$36,380. Library of Congress (permission still pending).

La Nación (Santiago, Chile) was founded as an independent paper in 1917. In 1927 it was taken over by the state, and has remained in government control. During the 1980s, it became the official propaganda voice for Augusto Pinochet's government. In 1990 it changed to a center-left viewpoint, and in 1991 published the Rettig Report (the outcome of the Comisión de la Verdad y de la Reconciliación), listing thousands of human rights abuses.

Russian Anarchist Periodicals of the Early 20th Century

213 microfiche and 1 reel of microfilm. \$3,350. Brill.

Metropolitan and provincial newspapers and journals that shed new light on the relationship of anarchists to the Bolsheviks and the Soviet state, while also revealing the impact of anarchist ideas on literature and art.

Total List Price: \$138,643

Webinars Offered by CRL in FY17

CRL Collections and Services

August 24, 2016

<http://www.crl.edu/events/webinar-crl-collections-and-services-11>

CLOCKSS, LOCKSS and the “Long Tail,”

Preserving at-risk Publishers

December 01, 2016

<http://www.crl.edu/events/>

[webinar-clockss-lockss-and-long-tail-preserving-risk-publishers](http://www.crl.edu/events/webinar-clockss-lockss-and-long-tail-preserving-risk-publishers)

Accessing CRL Collections

March 29, 2017

<http://www.crl.edu/events/webinar-accessing-crl-collections>

Portico e-Book Preservation—Progress Made,

Lessons Learned, and Future Directions

April 05, 2017

<http://www.crl.edu/events/webinar-portico-e-book-preservation-%E2%80%93-progress-made-lessons-learned-and-future-directions>

FY17 CRL Electronic Resource Offers

Adam Matthew Digital

Colonial America, Module 2
East India Company

Alexander Street Press

Caribbean Studies in Video: The Banyan
Archive

Economist Intelligence Unit

EIU Country Analysis
EIU Data Solutions
The Economist

East View

Japan Times Digital Archive
voxgov

Gale

American Fiction, 1774–1920
Associated Press Collections Online, Parts
I–VI
Brazilian and Portuguese History and
Culture: The Oliveira Lima Library
British Library Newspapers, Parts I–V
British Literary Manuscripts, Parts I–II
The Burney Collection
Chatham House Online Archive
China: Empire to Republic, 1817–1949
Crime, Punishment, and Popular Culture,
1790–1920
Daily Mail Historical Archive, 1896–2004
The Economist Historical Archive, 1843–
2011
Eighteenth Century Collections Online
Financial Times Historical Archive, 1888–
2010

Illustrated London News Historical Archive,
1842–2003

The Independent

Indigenous Peoples: North America

Liberty Magazine Historical Archive,
1924–1950

The Listener Historical Archive, 1929–1991

Making of the Modern Law, Parts I–VIII

The Making of the Modern World

National Geographic Magazine Archive,
1888–1994

Nineteenth Century Collections Online
(NCCO), modules I–XII

Nineteenth Century U.S. Newspapers

Nineteenth Century UK Periodicals Online,
I: Women's, Children's, Humour, Leisure;
II: Empire: Travel and Anthropology,
Economics, Missionary, and Colonial

Picture Post Historical Archive, 1938–1957

Punch, 1841–1992

Sabin Americana, 1476–1926

Smithsonian Collections Online, Parts I–III

Slavery and Anti-slavery, Parts I–IV

State Papers Online, Parts I–VI

Sunday Times Digital Archive, 1822–2006

The Telegraph Historical Archive, 1855–
2000

The Times Digital Archive, 1785–2009

The Times Literary Supplement Historical
Archive, 1902–2011

U.S. Declassified Documents Online

World Scholar Historical Archive: Latin
America

Oxford University Press

Electronic Enlightenment
Oxford Scholarly Editions Online
Social Explorer

ProQuest

American Periodicals from the Center for
Research Libraries (APCRL)

Digital National Security Archive

Other providers

African American Newspapers, Series 2

BMI (formerly Business Monitor
International)

Data-Planet Statistical Datasets and Hosting
Services

DOAJ

Docuseek2

Independent Voices

Financial Times

Global Financial Data Series

HeinOnline Government, Politics, and Law
Collection

Independent Voices

Manchester Medieval Sources Online

Morningstar Investment Research Center

New York Times

ORCID

PrivCo

Statista

UKPress Online

World Bank eLibrary

Project Ceres Proposals Funded for 2017–18

Colorado State University

Preservation of Colorado Agriculture
Literature, Colorado County Agent
Annual Reports, Second Phase

Cornell University

The Rural New Yorker

Mississippi State University

Digitization of Agricultural Economics
Publications

North Carolina State University

Digitization of North Carolina
Cooperative Extension Series
Publications

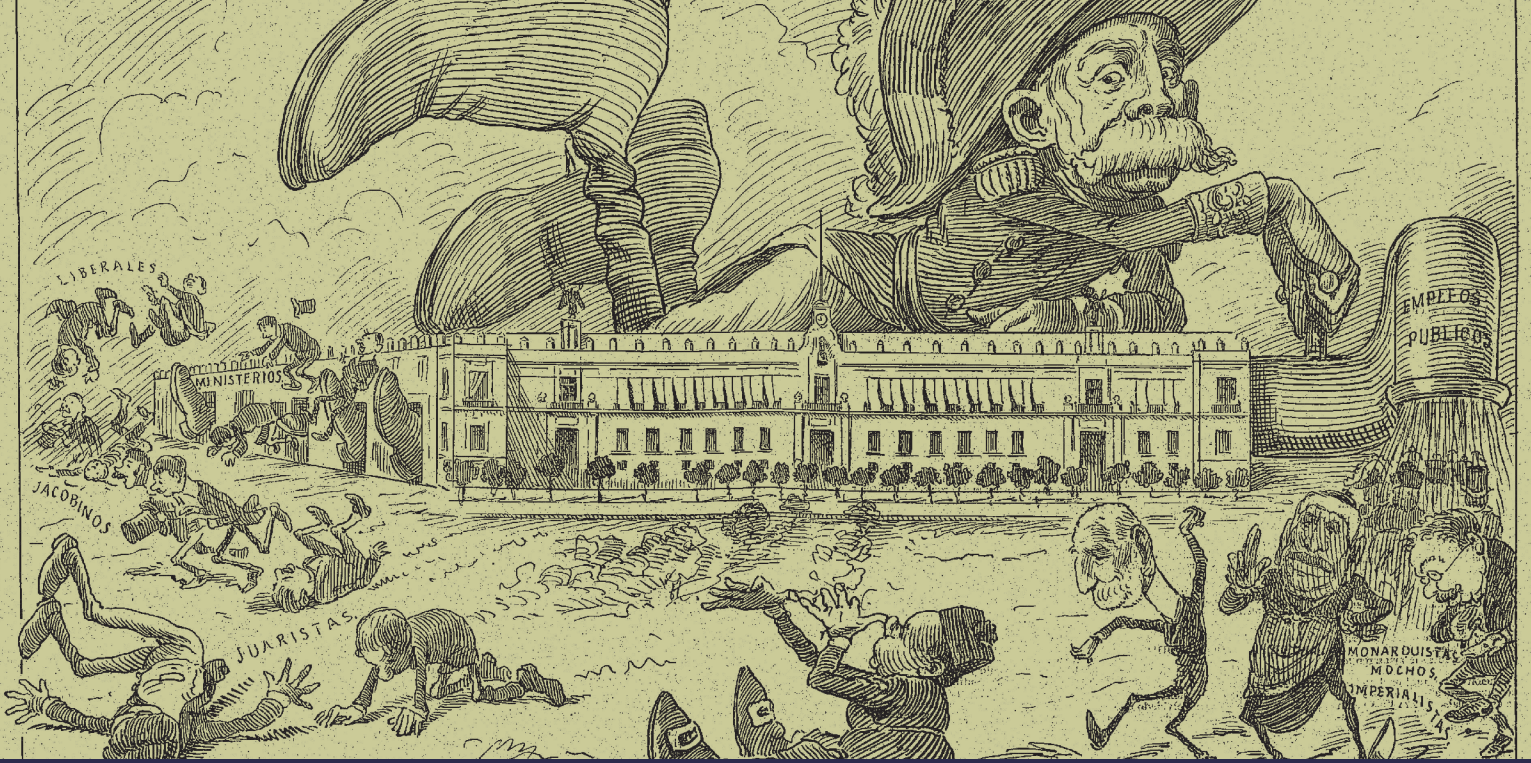
University of Arkansas

Digitization of Arkansas Extension MP
(Miscellaneous Publications)

University of Florida

Preserving Florida's Agricultural History:
Digitization of *The Florida Cattleman &
Livestock Journal*

Projects to be completed by August 2018,
with content hosted on local institutional
repositories and accessible through CRL's
[catalog](#). Information on preservation of
the print originals will be available through
PAPR, CRL's Print Archives Preservation
Registry.



THE IMPACT OF CRL: CRL Resources Integral to Cornell’s Latin American Journals Project

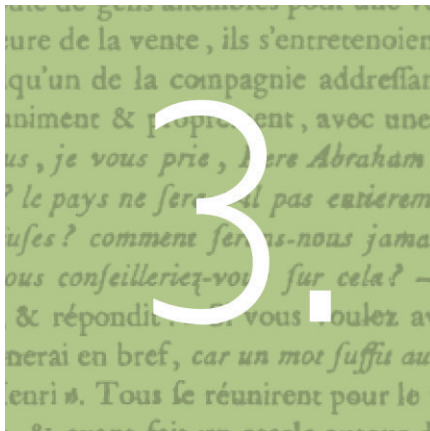
“In many ways this project would be incomplete without the invaluable support of CRL. Though Cornell holds many Latin American journals in various formats, we enlisted CRL to digitize a number of titles beyond those in our collections.”

CRL collaborated with Cornell University Library to support creation of the [Latin American Journals Project](#), hosted by the library. This digital portal leads to a wide range of Latin American popular serial literature published in the Spanish-speaking Caribbean and Latin America during the late 19th and early 20th centuries. The journals now accessible through the portal feature political and social satire, literary works, commentary on current events, and news from the region.

The project was established by Tom McEnaney, now Assistant Professor of Comparative Literature and Spanish & Portuguese at the University of California, Berkeley, to give scholars across the globe better access to journals difficult to find in print form. Drawing upon serials from CRL and other collections in his own grant-funded research, McEnaney mines modern-era Latin American literature to explore connections between poets across the Americas, the rise of nationalist movements, and how translation disseminates literary movements across the world.

Cornell library’s Desiree Alexander notes that “In many ways this project would be incomplete without the invaluable support of CRL. Though Cornell holds many Latin American journals in various formats, we enlisted CRL to digitize a number of titles beyond those in our collections. To date, over a third of the 20 publications currently represented were provided by CRL, and with their help we hope this resource will continue to grow.”

“La política porfirista según Pineta,” from Hijo del Ahuizote [Mexico, Agosto 3 de 1902], [Año XVII, Tomo XVII, Num. 822, pp. 1418–1419] CRL collections
<http://catalog.crl.edu/record=b2843643~S1>



Informing and Supporting Library Investment in Print and Electronic Resources

CRL's efforts to negotiate favorable terms for database licenses continued to expand, with an emphasis on large databases and news sources. In FY17 CRL negotiated 69 offers for member database purchases from a wide range of publishers, with a total of 676 resources taken up by 131 different CRL libraries. The libraries taking up offers were able to achieve an estimated mean savings of \$38,735.

In November 2016 CRL hosted "Licensing 'Big Data': an eDesiderata Forum," the first in a series of annual virtual forums intended to bring expertise and new insights to bear on providing access to major databases, datasets, and data services for scholarly research. Subject specialists examined the challenges libraries face in acquiring large databases in the areas of business and finance, public opinion and population, and geospatial information. Areas of prospective CRL action emerging from the discussion were revisited in the April 2017 Global Resources Collections Forum, following

the webcast of the annual Council of Voting Members meeting. Three key action areas: dealing collectively with vendors of global data; supporting non-profit providers of critical data (such as IPUMS and ICPSR); and supporting library dealings with sources of GIS data with model templates and boilerplate text for licensing.

CRL provides other resources and programming to actively support informed member investments in eResources. These include the database reviews, offer terms, and commentary found in CRL's interactive eDesiderata platform. CRL also hosted two webinars exploring the latest capabilities of the major trusted digital repositories: "CLOCKSS, LOCKSS and the Long Tail, Preserving At-Risk Publishers"; and "Portico ebook Preservation: Progress Made, Lessons Learned, and Future Directions." In all, staff from 119 member institutions attended either a CRL webinar or a forum webcast during FY17.

GOVERNING DEPENDS ON JUSTICE

AL-WAQAI AL-IRAQIYA



OFFICIAL GAZETTE OF IRAQ

THE IMPACT OF CRL: Documenting the Legal Record of Precarious Regimes

In some areas of the world a nation's laws can be out of reach for its citizens. In 2016 CRL completed a two-year project, supported in part by the Carnegie Corporation of New York, to digitize government documentation from ten African and Persian Gulf nations where access to information is sporadic or restricted, and the integrity of the public record is considered to be at risk. CRL now hosts the website [Official Gazettes and Civil Society Documentation](#), presenting what may be in some cases the only published versions of new laws, legislative debates, and court decisions.

Following previous cooperative efforts by major U.S. research libraries to collect comprehensive holdings of national gazettes, a particular challenge was obtaining the Iraq series. The English-language version (Iraq Government Gazette) from 1931-1984 was held by Columbia University Law Library, Harvard, Library of Congress, and the Los Angeles County Law Library. However, only scattered holdings of the Arabic version *al-Waqā'i' al-'Irāqīyah* (سنة وقائع العراق، 1922-) existed in U.S. libraries. Further, volumes from the critical years of Saddam Hussein's regime—1990-1999—were not available in any North American or European library due to economic embargoes prior to and during the Gulf War. Only through extensive investigation was CRL able to secure print copies for the project through a vendor in Baghdad. These scarce volumes (some only photocopies) were obtained by the vendor from a book market in Iraq.

Economic sanctions against “bad actor” governments can have a serious impact on academic research. Subject specialists in MEMP (Middle East Materials Project) report that political turmoil jeopardizes the availability of government documentation: few or very poor quality documents are printed, and embargoes can prohibit overseas sales.

As more official documents are distributed electronically, new complications will arise for research access. Online sources can be revised, restricted, and even obliterated at the discretion of regimes. For example, the website of the Coalition Provisional Authority (CPA) in Iraq is no longer accessible online. Gone with it are the most of the gazettes published by the interim regimes from 2003-2006, as are Iraqi government sites maintained prior to the fall of Saddam Hussein. Through the Carnegie-funded effort CRL harvested nearly 20,000 issues of gazettes from websites of seven countries, and is exploring how ongoing official documentation can be saved from obscurity.

Official Gazette of Iraq, June 17, 2003. From [Global Justice Project: Iraq](#). S.J. Quinney College of Law, University of Utah.

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Serving at Council Meeting April 2017

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Center for Research Libraries

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Member Liaison and Outreach Services Director

Kevin Wilks
Head, Access Services

Amy Wood
Director of Technical Services

Patricia Xia
Director of Information Systems

SENIOR ADVISOR

Ann Shumelda Okerson
CRL Advisor on Electronic Resources Strategy

Member Institutions, FY17

listed by the year they first joined CRL

1949

University of Chicago
University of Illinois at Urbana-Champaign
Indiana University
University of Iowa
University of Kansas
Michigan State University
University of Minnesota
Northwestern University
Purdue University

1950

University of Cincinnati
University of Notre Dame
University of Wisconsin

1951

Wayne State University

1953

Ohio State University

1957

Marquette University

1959

University of Kentucky

1962

University of Missouri

1963

University of Toronto

1967

University of British Columbia
University of California, Los Angeles
Cornell University
Harvard University
Iowa State University
Loyola University of Chicago
University of Pittsburgh
Princeton University
University of Rochester
University of Utah

1968

Arizona State University
Kent State University
Washington University in St. Louis
Western Michigan University

1969

University of California, Santa Barbara

Carleton University
Northern Illinois University
Ohio University

1970

Florida Atlantic University
University of Illinois at Chicago
University of Michigan
Rutgers, The State University of New Jersey
Temple University
Texas A & M University

1971

Columbia University
University of Tennessee

1972

University of Arkansas

1973

Binghamton University
Chicago State University
University of Denver
Florida State University
University of Houston
Kansas State University
University of Massachusetts, Boston
McGill University
University of New Mexico
New York Public Library
University of Pennsylvania
Princeton Theological Seminary
Yale University

1974

University of Florida
Lake Forest College
University of Oregon

1975

Vanderbilt University

1976

University of Akron
University of Colorado
University of Delaware
University of Georgia
United States Environmental Protection
Agency

1977

University of Arizona
Arkansas State University
DePaul University

University of North Carolina
University of South Carolina
Stony Brook University
University of Texas at Austin
Tulane University
University of Virginia

1978

Colby College
University of Massachusetts Amherst
Middlebury College
University of Oklahoma
Oklahoma State University
University of Vermont
University of Washington

1979

University of California, Berkeley
University of California, Davis
University of California, Irvine
University of California, Riverside
University of California, San Diego
University of California, Santa Cruz

1980

Carnegie Mellon University
Florida International University
Miami University of Ohio

1983

Brigham Young University
National Humanities Center
North Carolina State University
York University

1985

Oregon State University
Valparaiso University
University of Western Ontario

1987

Case Western Reserve University

1989

College of William & Mary
University of Southern California

1990

University of Alabama

1991

University of Maryland, College Park
Virginia Tech

1993

University of Alberta
Bowling Green State University
University of Dayton
Duke University

1994

The Claremont Colleges
Emory University
George Mason University

1996

Kenyon College
New York University
University of Ottawa

1998

University of Calgary
Paul H. Nitze School of Advanced
International Studies at Johns Hopkins
University

1999

Ashland University
Oberlin College
Pennsylvania State University
Texas Tech University
University of Texas at San Antonio

2000

University of Victoria

2005

Carleton College
Colorado College
Georgia State University
Grinnell College
Knox College
Monmouth College

2006

University of Connecticut
University of Manitoba
University of South Florida

2008

Brock University
Canisius College
Colgate University
Dartmouth College
Lakehead University
Le Moyne College
Queen's University
Rhodes State College

University of Saskatchewan
Siena College
Simon Fraser University
Saint Lawrence University
Trent University
Vassar College
Wilfrid Laurier University

2009

Adler School of Professional Psychology
Benedictine University
Hope College
The Newberry Library
The School of the Art Institute of Chicago

2010

Illinois Wesleyan University
Millikin University

2011

Carroll College
University of Central Florida
Georgia Southern University
University of North Florida
University of Northern British Columbia
Olivet Nazarene University
University of the South
Williams College

2012

Baruch College—CUNY
Baylor University
University of California, Merced
College of Staten Island—CUNY
Graduate Center—CUNY
Mississippi State University
Norwich University
West Virginia University

2013

Bard College
Boston College
Carthage College
Kennesaw State University
University of Nebraska—Lincoln
Northeastern University
Union College

2014

University of Lethbridge
Luther College
Mount Holyoke
Occidental College
University of San Francisco

Saint Olaf
Smith College
Stanford University
Trinity University

2015

Amherst College
California Polytechnic State University
Hampshire College
High Point University
Illinois College of Optometry
University of Miami
National Agricultural Library
Pepperdine University
Rollins College
University of San Diego
Southern Methodist University

2016

Columbia College
Furman University
Hamilton University
Macalester College
University of Memphis
The New College of Florida
North Dakota State University
United States Military Academy
Virginia Commonwealth University
University of West Florida

2017

Beloit College
Brandeis University
University of Alabama at Birmingham
University of North Texas

GLOBAL MEMBERS**2006**

University of Hong Kong

2015

Max Planck Institute for Human
Development
Nalanda University

2016

American University of Beirut

AFFILIATE MEMBERS**1981**

Association of Research Libraries (ARL)

1983

Online Computer Library Center (OCLC)

Current Members of Global Resources Programs as of June 30, 2017

CAMP (Cooperative Africana Materials Project)

Afrika-Studiecentrum (Leiden, The Netherlands)
Ben-Gurion University of the Negev (Israel)
Bodleian Library of Commonwealth & African Studies at Rhodes House
Boston University
University of California, Berkeley
University of California, Los Angeles
University of Cambridge, African Studies Center
University of Chicago
Columbia University
Cornell University
Dartmouth College
Duke University
University of Edinburgh
Emory University
University of Florida
Harvard University
Harry S. Truman Research Institute, Hebrew University
University of Illinois at Urbana-Champaign
Indiana University
University of Iowa
University of Kansas
Library of Congress
School of Oriental and African Studies, University of London
Michigan State University
University of Michigan
University of Minnesota
New York Public Library
New York University
Nordic Africa Institute
University of North Carolina
Northwestern University
University of Notre Dame
Ohio State University
Ohio University
University of Pennsylvania
Princeton University
Rutgers University
Southern Methodist University
Stanford University
Syracuse University
Temple University
University of Toronto
Vanderbilt University
University of Virginia

University of Wisconsin-Madison
Yale University

African Affiliates

University of Cape Town
Mountains of the Moon University

CIFNAL (Collaborative Initiative for French Language Collections)

University of Alberta
Brigham Young University
University of British Columbia
Brown University
University of California, Berkeley
University of California, Irvine
University of California, Los Angeles
University of California, San Diego
University of California, Santa Cruz
University of Chicago
University of Colorado, Boulder
Columbia University
Cornell University
Dartmouth College
University of Denver
Duke University
University of Florida
George Mason University
Harvard University
University of Illinois at Urbana-Champaign
Indiana University
University of Iowa
John Carter Brown Library
Johns Hopkins University
University of Manitoba
University of Maryland, College Park
University of Michigan
University of Minnesota
New York Public Library
New York University
Newberry Library
University of North Carolina
Northwestern University
University of Notre Dame
University of Ottawa
Pennsylvania State University
University of Pennsylvania
Princeton University
Purdue University
Reed College
Smith College
Stanford University

University of Texas at Austin
University of Toronto
University of Utah
Vanderbilt University
University of Vermont
University of Virginia
University of Washington
University of Wisconsin
Yale University
York University

GNARP (German-North American Resources Partnership)

University of Alabama
University of Alberta
Brigham Young University
Brown University
University of California, Berkeley
University of California, Irvine
University of California, Los Angeles
University of California, San Diego
University of California, Santa Cruz
University of Chicago
University of Cincinnati
University of Colorado, Boulder
Cornell University
Dartmouth College
University of Delaware
Duke University
University of Florida
Georgetown University
Harvard University
University of Illinois at Urbana-Champaign
Indiana University
University of Iowa
Johns Hopkins University
Library of Congress
University of Manitoba
University of Maryland, College Park
University of Michigan
Michigan State University
University of Minnesota
New York University
University of North Carolina
Northwestern University
University of Notre Dame
Pennsylvania State University
University of Pennsylvania
Princeton University
Rice University
Rutgers University

Stanford University
University of Toronto
University of Utah
Vanderbilt University
Washington University
University of Washington
University of Waterloo
University of Wisconsin
Yale University

German Partners

Bayerische Staatsbibliothek
Goethe-Institut, New York
Humboldt-Universität zu Berlin
Niedersächsische Staats- und
Universitätsbibliothek Göttingen
Universitätsbibliothek Tübingen
Universitäts- und Landesbibliothek Sachsen-
Anhalt, Halle

LAMP (Latin American Materials Project)

Brigham Young University
Brown University
University of California, Berkeley
University of California, Irvine
University of California, Los Angeles
University of California, Riverside
University of California, San Diego
University of California, Santa Barbara
University of California, Santa Cruz
University of Chicago
Columbia University
University of Connecticut
Cornell University
Dartmouth College
Duke University
Emory University
University of Florida
Harvard University
University of Illinois at Urbana-Champaign
Indiana University
University of Iowa
University of Kansas
Library of Congress
University of Massachusetts Amherst
University of Miami
Michigan State University
University of Michigan
University of Minnesota
University of New Mexico

New Mexico State University
New York Public Library
New York University
University of North Carolina
University of Notre Dame
Ohio State University
University of Pennsylvania
University of Pittsburgh
Princeton University
Rice University
Rutgers University
University of Southern California
Stanford University
University of Texas
University of Toronto
Tulane University
Vanderbilt University
University of Virginia
University of Wisconsin
Yale University

LARRP (Latin Americanist Research Resources Project)

University of Arizona
Arizona State University
Boston College
Brigham Young University
University of California, Berkeley
University of California, Los Angeles
University of California, San Diego
University of California, Santa Barbara
Columbia University
University of Connecticut
Cornell University
Dartmouth College
Duke University
Emory University
University of Florida
Florida International University
Harvard University
University of Illinois at Urbana-Champaign
Indiana University
University of Kansas
Library of Congress
University of Massachusetts Amherst
University of Miami
University of Michigan
Michigan State University
University of Minnesota
University of New Mexico
New York University

University of North Carolina
University of Notre Dame
Ohio State University
Ohio University
University of Pennsylvania
University of Pittsburgh
Princeton University
Rice University
Rutgers University
University of South Florida
University of Southern California
Syracuse University
University of Texas
University of Toronto
Tulane University
Vanderbilt University
University of Wisconsin
Yale University

Latin American Affiliates

Biblioteca Inca
Centro de Investigaciones Regionales de
Mesoamerica
Instituto de Estudios Peruanos
Universidad de Puerto Rico, Rio Piedras
Universidade Federal do Rio Grande do Sul
Universidade de San Andres
University of the West Indies/St. Augustine

MEMP (Middle East Materials Project)

American University in Cairo
American University of Beirut
University of Arizona
Brigham Young University
Brown University
University of California, Berkeley
University of California, Los Angeles
University of California, Santa Barbara
University of Chicago
Columbia University
Cornell University
Duke University
Georgetown University
Harvard University
University of Illinois at Urbana-Champaign
Indiana University
University of Iowa
Library of Congress
McGill University
Michigan State University
University of Michigan

University of Minnesota
New York Public Library
New York University
University of North Carolina
Northwestern University
University of Notre Dame
Ohio State University
University of Pennsylvania
Princeton University
University of Southern California
Stanford University
University of Texas
University of Toronto
University of Washington
Washington University
University of Wisconsin
Yale University

SAMP (South Asia Materials Project)

University of British Columbia
Brown University
University of California, Berkeley
University of California, Los Angeles
Centre for Studies in Social Sciences,
Calcutta
University of Chicago
Columbia University
Cornell University
Duke University
Emory University
Harvard University
University of Hawaii
University of Illinois at Urbana-Champaign
Indiana University
University of Iowa
Kansas State University
Library of Congress
Madan Puraskar Pustakalaya
University of Michigan
University of Minnesota
University of Missouri
Mushfiq Khwaja Library and Research
Centre
New York Public Library
New York University
University of North Carolina
North Carolina State University
University of Notre Dame
Ohio State University
University of Pennsylvania
Princeton University
Raja Muthiah Research Library

Rutgers University
Stanford University
Syracuse University
University of Texas
University of Toronto
University of Virginia
University of Washington
Washington University
University of Wisconsin
Yale University

South Asia Open Archives (SAOA)

University of California, Berkeley
Centre for Studies in Social Sciences,
Calcutta
University of Chicago
Columbia University
Cornell University
Duke University
Harvard University
University of Illinois at Urbana-Champaign
Indiana University
Madan Puraskar Pustakalaya
University of Michigan
University of Minnesota
Mushfiq Khwaja Library and Research
Centre
New York Public Library
New York University
University of Pennsylvania
Princeton University
Raja Muthiah Research Library
University of Texas at Austin
University of Virginia
University of Washington
University of Wisconsin
Yale University

SEAM (Southeast Asia Materials Project)

Arizona State University
University of California, Berkeley
University of California, Irvine
University of California, Los Angeles
University of California, Riverside
University of Chicago
Columbia University
Cornell University
Duke University
Harvard University
University of Hawaii
Indiana University

Leiden University
Library of Congress
School of Oriental and African Studies,
University of London
University of Michigan
University of North Carolina
Northern Illinois University
University of Notre Dame
Ohio University
University of Oregon
Princeton University
Stanford University
University of Toronto
University of Washington
University of Wisconsin
Yale University

SEEMP (Slavic and East European Materials Project)

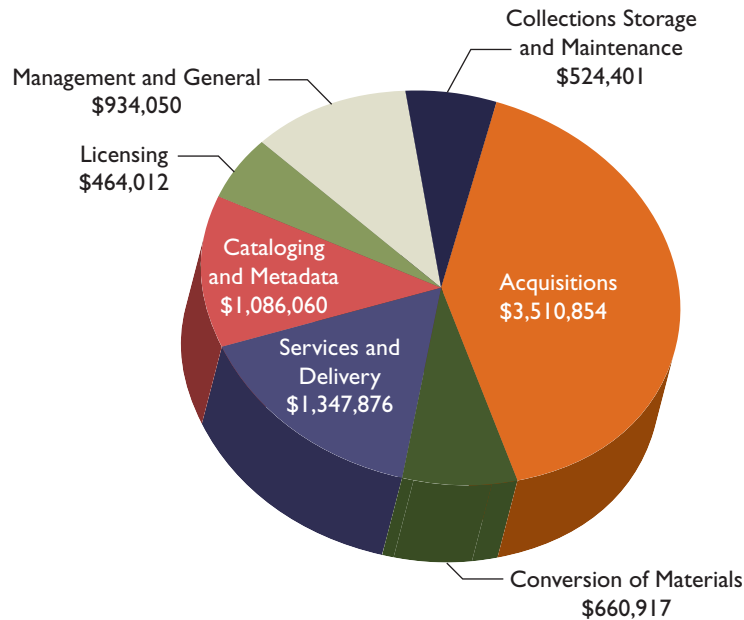
University of Arizona
Arizona State University
University of California, Berkeley
University of California, Los Angeles
University of Chicago
Columbia University
Cornell University
Duke University
University of Florida
George C. Marshall European Center for
Security Studies
Harvard University
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Library of Congress
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New York Public Library
New York University
University of North Carolina
University of Notre Dame
Ohio State University
University of Pittsburgh
Princeton University
Stanford University
University of Texas
University of Toronto
University of Washington
University of Wisconsin
Yale University

TRAIL (Technical Report Archive & Image Library)

University of Arizona
Arizona State University
University of Arkansas
Baylor University
Brigham Young University
California Institute of Technology
University of California, Berkeley
University of California, Los Angeles
University of California, San Diego
University of Cincinnati
University of Colorado, Boulder
Colorado State University
Georgia Institute of Technology
U.S. Government Publishing Office
Harvard University
University of Houston
University of Illinois at Urbana-Champaign
Indiana University
University of Iowa
Iowa State University
Kansas State University
Massachusetts Institute of Technology
University of Massachusetts Amherst
University of Nevada, Las Vegas
University of New Mexico
University of North Texas
Northwestern University
University of Notre Dame
Oklahoma State University
Oregon State University
University of Pennsylvania
Pennsylvania State University
Princeton University
Purdue University
Rice University
Stanford University
Texas A & M University
University of Texas at Austin
University of Texas at San Antonio
Texas Tech University
Utah State University
Washington State University
University of Washington
University of Wisconsin

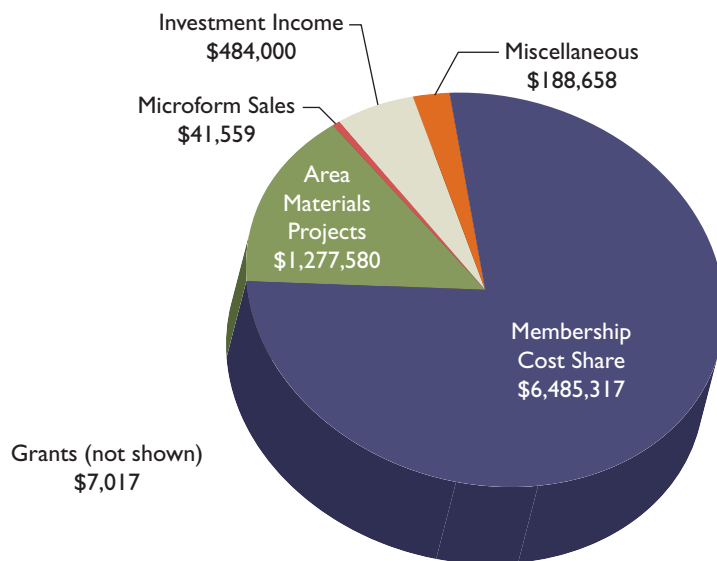
CRL Expenditures on Collections, Services, and General Operating FY17

Total = \$8,528,170



CRL Revenue FY17

Total = \$8,484,131



CENTER FOR RESEARCH LIBRARIES

FINANCIAL STATEMENTS

JUNE 30, 2017

CENTER FOR RESEARCH LIBRARIES

FINANCIAL STATEMENTS WITH SUPPLEMENTARY INFORMATION

JUNE 30, 2017 AND 2016

CONTENTS

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REPORT OF INDEPENDENT AUDITORS

To the Board of Directors of
Center for Research Libraries

Report on the Financial Statements

We have audited the accompanying financial statements of Center for Research Libraries (the Center), which comprise the statements of financial position as of June 30, 2017 and 2016, and the related statements of activities and of cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Center's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by the Center's management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to in the first paragraph present fairly, in all material respects, the financial position of Center for Research Libraries as of June 30, 2017 and 2016, and the changes in its net assets and its cash flows for the years then ended, in accordance with accounting principles generally accepted in the United States of America.

Other Matter

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying supplementary information on pages 17 through 19 is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Legacy Professionals LLP

Chicago, Illinois

December 5, 2017

CENTER FOR RESEARCH LIBRARIES

STATEMENTS OF FINANCIAL POSITION

JUNE 30, 2017 AND 2016

	<u>2017</u>	<u>2016</u>
ASSETS		
Cash and cash equivalents	\$ 4,746,215	\$ 4,000,443
Accounts receivable	288,884	739,721
Grants receivable	9,047	3,590
Prepaid expenses	248,318	270,291
Deferred financing costs	69,452	78,179
Investments	8,554,452	8,077,103
Property and equipment - net	<u>2,423,336</u>	<u>2,705,811</u>
Total assets	<u>\$ 16,339,704</u>	<u>\$ 15,875,138</u>
LIABILITIES AND NET ASSETS		
LIABILITIES		
Accounts payable and accrued expenses	\$ 1,024,996	\$ 1,666,707
Due to database vendors	1,691,563	1,883,856
Deferred revenue	4,332,382	3,486,096
Loan payable	<u>785,433</u>	<u>886,418</u>
Total liabilities	<u>7,834,374</u>	<u>7,923,077</u>
NET ASSETS		
Unrestricted		
Operating	2,443,243	2,545,985
Area Microform Projects	3,810,450	3,570,265
Net investment in property and equipment	<u>1,637,903</u>	<u>1,819,393</u>
Total	7,891,596	7,935,643
Temporarily restricted	<u>613,734</u>	<u>16,418</u>
Total net assets	<u>8,505,330</u>	<u>7,952,061</u>
Total liabilities and net assets	<u>\$ 16,339,704</u>	<u>\$ 15,875,138</u>

See accompanying notes to financial statements.

CENTER FOR RESEARCH LIBRARIES

STATEMENTS OF ACTIVITIES

YEARS ENDED JUNE 30, 2017 AND 2016

	2017			2016		
	Unrestricted	Temporarily Restricted	Total	Unrestricted	Temporarily Restricted	Total
REVENUE AND OTHER SUPPORT						
Membership cost share	\$ 6,485,317	\$ -	\$ 6,485,317	\$ 6,262,578	\$ -	\$ 6,262,578
Area Microform Projects	1,277,580	-	1,277,580	1,132,482	-	1,132,482
Grants	7,017	699,500	706,517	28,650	66,300	94,950
Cataloging revenue	3,325	-	3,325	47,909	-	47,909
Newspaper microfilm sales	38,007	-	38,007	80,951	-	80,951
Microform sales and subscriptions	3,552	-	3,552	7,517	-	7,517
Investment income	484,000	-	484,000	53,402	-	53,402
Other income	83,149	-	83,149	102,866	-	102,866
Net assets released from restrictions	102,184	(102,184)	-	614,547	(614,547)	-
Total revenue and other support	<u>8,484,131</u>	<u>597,316</u>	<u>9,081,447</u>	<u>8,330,902</u>	<u>(548,247)</u>	<u>7,782,655</u>
EXPENSES						
Program	5,554,569	-	5,554,569	5,350,939	-	5,350,939
Management and general	1,223,642	-	1,223,642	1,300,129	-	1,300,129
Fundraising	174,424	-	174,424	150,959	-	150,959
Total expenses	<u>6,952,635</u>	<u>-</u>	<u>6,952,635</u>	<u>6,802,027</u>	<u>-</u>	<u>6,802,027</u>
CHANGE IN NET ASSETS BEFORE COLLECTION ITEMS PURCHASED AND NOT CAPITALIZED	1,531,496	597,316	2,128,812	1,528,875	(548,247)	980,628
COLLECTION ITEMS PURCHASED AND NOT CAPITALIZED	<u>1,575,543</u>	<u>-</u>	<u>1,575,543</u>	<u>1,308,581</u>	<u>-</u>	<u>1,308,581</u>
CHANGE IN NET ASSETS	(44,047)	597,316	553,269	220,294	(548,247)	(327,953)
NET ASSETS						
Beginning of year	<u>7,935,643</u>	<u>16,418</u>	<u>7,952,061</u>	<u>7,715,349</u>	<u>564,665</u>	<u>8,280,014</u>
End of year	<u>\$ 7,891,596</u>	<u>\$ 613,734</u>	<u>\$ 8,505,330</u>	<u>\$ 7,935,643</u>	<u>\$ 16,418</u>	<u>\$ 7,952,061</u>

See accompanying notes to financial statements.

CENTER FOR RESEARCH LIBRARIES

STATEMENTS OF CASH FLOWS

YEARS ENDED JUNE 30, 2017 AND 2016

	<u>2017</u>	<u>2016</u>
CASH FLOWS FROM OPERATING ACTIVITIES		
Member cost share and non-member fees received	\$ 9,503,889	\$ 7,287,907
Grants and contributions received	701,060	230,264
Interest and dividends received	110,951	91,078
Cash paid to suppliers and employees	(7,616,250)	(5,534,180)
Interest paid	<u>(36,510)</u>	<u>(40,897)</u>
Net cash provided by operating activities	<u>2,663,140</u>	<u>2,034,172</u>
CASH FLOWS FROM INVESTING ACTIVITIES		
Purchase of investments	(104,300)	(91,078)
Purchase of collections	(1,575,543)	(1,308,581)
Purchase of property and equipment	<u>(136,540)</u>	<u>(161,353)</u>
Net cash (used in) investing activities	<u>(1,816,383)</u>	<u>(1,561,012)</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Principal payments on loan	<u>(100,985)</u>	<u>(96,596)</u>
NET INCREASE IN CASH AND CASH EQUIVALENTS	745,772	376,564
CASH AND CASH EQUIVALENTS		
Beginning of year	<u>4,000,443</u>	<u>3,623,879</u>
End of year	<u>\$ 4,746,215</u>	<u>\$ 4,000,443</u>
RECONCILIATION OF CHANGE IN NET ASSETS TO NET CASH PROVIDED BY OPERATING ACTIVITIES		
Change in net assets	\$ 553,269	\$ (327,953)
Adjustments to reconcile change in net assets to net cash provided by operating activities		
Collections purchased	1,575,543	1,308,581
Bad debt expense	14,800	4,769
Depreciation	419,015	410,731
Realized and unrealized (gain) loss on investments	(373,049)	37,676
Amortization of deferred financing cost	8,727	8,728
Changes in operating assets and liabilities		
Accounts receivable	436,037	(279,533)
Grants receivable	(5,457)	135,314
Prepaid expenses	21,973	(28,211)
Accounts payable and accrued expenses	(641,711)	835,702
Due to database vendors	(192,293)	605,049
Deferred revenue	<u>846,286</u>	<u>(676,681)</u>
NET CASH PROVIDED BY OPERATING ACTIVITIES	<u>\$ 2,663,140</u>	<u>\$ 2,034,172</u>

See accompanying notes to financial statements.

CENTER FOR RESEARCH LIBRARIES

NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2017 AND 2016

NOTE 1. NATURE OF ACTIVITIES

Center for Research Libraries (the Center) is a membership consortium of institutions with significant academic and research libraries. The Center's mission is to foster and advance scholarly and scientific research through cost-effective, cooperative programs that provide reliable access through traditional and electronic means to unique and unusual collections of library materials in all appropriate formats, international in scope and comprehensive in disciplines.

A majority of the Center's revenue is derived from membership cost share assessments. Other revenue and support consist of grants and sales of materials reproduced.

The following provides a brief description of the Center's program services:

Collections Storage and Maintenance - The Center maintains active and inactive collections consisting of an estimated five million volumes or equivalents in its repository facility. These collections are in paper, microform and electronic media. Activities associated with storage and physical maintenance of the Center's film and paper collections include sorting, shelving, labeling, housing, and binding conservation work. Also included are the costs of maintaining, monitoring and improving the portion of the building dedicated to collections storage, including climate control and other functions.

Acquisitions - This program consists of activities supporting the acquisition and processing of collection materials, including surveying of members on collection needs, selection and subscription costs, ordering, transporting and receiving materials.

Conversion of Materials - The Center preserves unique and at-risk cultural and historical materials through microfilming and digital conversion. Materials are organized, analyzed, collated and shipped to and from service providers by the Center and partner organizations. Film and digital copies are produced and distributed.

Service and Delivery - The Center delivers an estimated 33 million pages of collection materials to member institutions and other clients each year. Activities include receiving and processing requests for the Center's collection materials from member libraries and clients, retrieval and preparation of materials for delivery, shipping, fulfillment of electronic document delivery requests, receipt and re-shelving of returned materials and fulfillment and processing of member purchase requests for individual items.

NOTE 1. NATURE OF ACTIVITIES (CONTINUED)

Continued from previous page

Cataloging and Metadata - This program involves production and processing of preliminary and final catalog records, finding aids for the Center's collection materials and metadata for electronic resources including those produced and/or acquired by the Center.

Area Microform Projects (AMPS) - This program involves specific support for the Area Microform Projects and other self-funded area studies projects undertaken by the Center for partner organizations. Activities include identification and procurement of materials for preservation, microfilming and digitization as well as conversion and cataloging of those materials.

Grants - The Center receives grants to fund preservation, cataloging, union lists, acquisitions and other activities, either independently or as a partner in cooperative projects. These grants are normally from private philanthropies or from the U.S. federal government.

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting - The Center's financial statements have been prepared on the accrual basis of accounting in accordance with generally accepted accounting principles.

Financial Statement Presentation - In order to conform with provisions of generally accepted accounting principles, the Center, as a not-for-profit entity, is required to report information regarding its financial position and activities in three classes of net assets: unrestricted, temporarily restricted, and permanently restricted. Net assets are generally reported as unrestricted unless assets are received from donors with explicit stipulations that limit the use of the asset. The Center has determined that no net assets are permanently restricted as of June 30, 2017 and 2016. Voluntary resolutions by the Board of Directors to designate a portion of the Center's unrestricted net assets for specific purposes do not result in restricted funds. Since designations are voluntary and may be reversed by the Board at any time, Board designated net assets are considered unrestricted net assets.

Cash and Cash Equivalents - The Center considers all liquid investments, including amounts invested in money market instruments, with a maturity of three months or less when purchased to be cash equivalents. The Center maintains its cash and cash equivalents on deposit with various financial institutions and investment companies, which at times may exceed federally insured limits.

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Accounts and Grants Receivable - Accounts and grants receivable are stated at the amounts that the Center expects to collect from outstanding balances. Bad debts, which are typically minimal, are written off as incurred.

Deferred Financing Costs - The costs incurred in obtaining the Center's loan have been deferred and are being amortized on a straight-line basis over the term of the loan.

Investments - The investments of the Center are reported at fair value. The fair value of a financial instrument is the amount that would be received to sell that asset (or paid to transfer a liability) in an orderly transaction between market participants at the measurement date (the exit price). Purchases and sales of the investments are reflected on a trade-date basis. Dividend income is recorded on the ex dividend date. Interest income is recorded on the accrual basis.

Property and Equipment - Property and equipment with a cost in excess of \$1,000 and a useful life greater than one year are recorded at cost and depreciated using the straight-line method over the estimated useful lives of the assets. Estimated lives range from three to ten years for furniture and equipment and thirty years for building and improvements. Upon sale or retirement, the cost and related accumulated depreciation are eliminated from the respective accounts and the resulting gain or loss is included in the statements of activities. Property and equipment are reviewed for impairment when events or changes in circumstances indicate that the carrying amount of the assets may not be recoverable. There were no impairment charges for the years ended June 30, 2017 and 2016.

Collections - The Center has an extensive collection of library materials, which is held for research purposes. The materials were acquired through purchases and contributions since the Center's inception. The Center has adopted a policy of not capitalizing collection expenditures in its financial statements.

Purchases of collection items are recorded as decreases in unrestricted net assets in the year in which the items are acquired and a release of temporarily restricted net assets if acquired with such funds. Contributed collection items are not reflected in the financial statements. Proceeds from disposals or insurance recoveries are reflected as increases in the appropriate net asset class.

The collections are subject to a policy that requires proceeds from their sales to be used to acquire other collection items. No collection items were disposed of through sales during the years ended June 30, 2017 and 2016.

Due to Database Vendors - The Center acts as a conduit on behalf of members by collecting and then remitting database vendor subscription premiums on their behalf. Amounts held at year end that had not yet been remitted are shown as a liability on the statements of financial position.

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Grants and Contributions - Grants and contributions received are recorded as unrestricted or temporarily restricted support, depending on the existence and nature of any donor-imposed restrictions on the use of the funds. Contributions reported as temporarily restricted support are released to unrestricted net assets when the donor restriction expires, that is, when a stipulated time restriction ends or a purpose restriction is accomplished, and are reported in the statements of activities as net assets released from restrictions.

Revenue Recognition - Membership cost share assessments are recognized as revenue during the membership year. Dues received in advance of the membership year are accounted for as deferred revenue at year end. Revenue from cost reimbursement type grants is recognized as grant related costs are incurred. Grant funds received in advance are accounted for as deferred revenue. AMPS funds received for projects during the year are recognized as unrestricted revenue. Those AMPS funds received in advance of the project year are accounted for as deferred revenue.

Allocation of Expenses - The costs of providing the Center's various programs and supporting services have been summarized on a functional basis in the statements of activities. Accordingly, certain costs have been allocated among the programs and supporting services on the basis of management estimates of direct salary expenses, physical space occupied and programs benefited.

Income Taxes - The Center is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code. Accordingly, there is no provision for income taxes recorded in the accompanying financial statements.

The Center files Form 990, *Return of Organization Exempt from Income Tax*. The Center's returns are subject to examination by the Internal Revenue Service until the applicable statute of limitations expires.

Management Estimates and Assumptions - The preparation of financial statements in conformity with accounting principles generally accepted in the United States requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from those estimates.

Subsequent Events - Subsequent events have been evaluated through December 5, 2017, which is the date the financial statements were available to be issued.

NOTE 3. INVESTMENTS

The composition of investments at June 30, 2017 and 2016 is as follows:

	<u>2017</u>	<u>2016</u>
Mutual funds		
Equity	\$ 2,678,803	\$ 2,389,256
Fixed income	1,736,196	1,574,343
Money market funds	<u>4,139,453</u>	<u>4,113,504</u>
Total	<u>\$ 8,554,452</u>	<u>\$ 8,077,103</u>

Investment income for the years ended June 30, 2017 and 2016 is as follows:

	<u>2017</u>	<u>2016</u>
Interest and dividends	\$ 110,951	\$ 91,078
Realized and unrealized gains (losses)	<u>373,049</u>	<u>(37,676)</u>
Net investment income	<u>\$ 484,000</u>	<u>\$ 53,402</u>

NOTE 4. FAIR VALUE MEASUREMENTS

The *Fair Value Measurements and Disclosures* Topic of the FASB Accounting Standards Codification established a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy are described below:

Basis of Fair Value Measurement

- Level 1 Unadjusted quoted prices in active markets that are accessible at the measurement date for identical, unrestricted assets or liabilities

- Level 2 Quoted prices in markets that are not considered to be active or financial instruments for which all significant inputs are observable, either directly or indirectly

- Level 3 Prices or valuations that require inputs that are both significant to the fair value measurement and unobservable

NOTE 4. FAIR VALUE MEASUREMENTS (CONTINUED)

The following tables set forth, by level within the fair value hierarchy, the Center's investment assets at fair value as of June 30, 2017 and 2016. As required, assets and liabilities are classified in their entirety based on the lowest level of input that is significant to the fair value measurement. The Center had no investment assets at fair value classified within Level 3 at June 30, 2017 and 2016.

	Fair Value Measurements at 06/30/17 Using			
		Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
	Total			
Mutual funds				
Equity	\$ 2,678,803	\$ 2,678,803	\$ -	\$ -
Fixed income	1,736,196	1,736,196	-	-
Money market funds	4,139,453	-	4,139,453	-
Total	<u>\$ 8,554,452</u>	<u>\$ 4,414,999</u>	<u>\$ 4,139,453</u>	<u>\$ -</u>

	Fair Value Measurements at 06/30/16 Using			
		Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
	Total			
Mutual funds				
Equity	\$ 2,389,256	\$ 2,389,256	\$ -	\$ -
Fixed income	1,574,343	1,574,343	-	-
Money market funds	4,113,504	-	4,113,504	-
Total	<u>\$ 8,077,103</u>	<u>\$ 3,963,599</u>	<u>\$ 4,113,504</u>	<u>\$ -</u>

NOTE 4. FAIR VALUE MEASUREMENTS (CONTINUED)

Level 1 Measurements

The fair values of the mutual funds are determined by reference to the funds' underlying assets, which are principally marketable equity and fixed income securities. Shares held in mutual funds are traded in active markets on national and international securities exchanges and are valued at closing prices on the last business day of each period presented.

Level 2 Measurements

The money market funds are valued at cost, which approximates their fair value.

NOTE 5. PREPAID EXPENSES

Prepaid expenses consisted of the following as of June 30, 2017 and 2016:

	<u>2017</u>	<u>2016</u>
Prepaid library materials	\$ 210,525	\$ 221,547
Prepaid - other	<u>37,793</u>	<u>48,744</u>
Total prepaid expenses	<u>\$ 248,318</u>	<u>\$ 270,291</u>

In the course of cataloging its dissertations collection, the Center incurs usage fees to access the Online Computer Library Center, Inc. (OCLC) database. The Center is able to offset these fees from credits received from OCLC for the reciprocal use of the Center. The Center had earned cumulative net credits of \$841 as of June 30, 2017 and 2016.

NOTE 6. PROPERTY AND EQUIPMENT

Property and equipment consisted of the following as of June 30, 2017 and 2016:

	<u>2017</u>	<u>2016</u>
Land	\$ 128,446	\$ 128,446
Building and improvements	11,841,519	11,740,357
Furniture and equipment	<u>2,238,334</u>	<u>2,202,955</u>
	14,208,299	14,071,758
Less accumulated depreciation	<u>(11,784,963)</u>	<u>(11,365,947)</u>
Net property and equipment	<u>\$ 2,423,336</u>	<u>\$ 2,705,811</u>

Depreciation expense was \$419,015 for the year ended June 30, 2017 and \$410,731 for 2016.

NOTE 7. ACCOUNTS PAYABLE AND ACCRUED EXPENSES

Accounts payable and accrued expenses consisted of the following as of June 30, 2017 and 2016:

	<u>2017</u>	<u>2016</u>
Accounts payable	\$ 564,369	\$ 1,270,179
Accrued vacation	252,354	257,022
Accrued salaries and withholdings	140,708	106,398
Other accrued expenses	<u>67,565</u>	<u>33,108</u>
Total	<u>\$ 1,024,996</u>	<u>\$ 1,666,707</u>

NOTE 8. DEFERRED REVENUE

The Center regularly bills in advance of the upcoming fiscal year for membership cost share assessments and AMPS membership. Those membership payments that are received for future fiscal years are held as deferred revenue to be recognized in the fiscal year for which they will be earned. Occasionally, grant payments received in advance of a future fiscal year are also held as deferred revenue. As of June 30, 2017 and 2016, deferred revenue consisted of the following:

	<u>2017</u>	<u>2016</u>
Deferred membership cost share	\$ 4,302,766	\$ 3,469,815
AMPS deferred revenue	22,000	8,665
Grants deferred	<u>7,616</u>	<u>7,616</u>
Total	<u>\$ 4,332,382</u>	<u>\$ 3,486,096</u>

NOTE 9. LOAN PAYABLE

During the year ended June 30, 2014, the Center refinanced its loan under the Illinois Educational Facilities Authority's Cultural Pooled Financing Program. The loan is secured by the Center's property in Chicago, Illinois.

The loan is repayable in monthly installments of \$11,458 including principal and interest. The interest rate is 4.28%. The loan matures in 2024. Interest expense for the years ended June 30, 2017 and 2016 was \$36,510 and \$40,897 respectively.

NOTE 9. LOAN PAYABLE (CONTINUED)

Future principal payments are as follows:

Year ending June 30,	
2018	\$ 105,455
2019	110,123
2020	114,938
2021	120,086
2022	125,402
Thereafter	<u>209,429</u>
Total	<u>\$ 785,433</u>

NOTE 10. TEMPORARILY RESTRICTED NET ASSETS

Temporarily restricted net assets arise from grants received from various organizations to support cataloging, microform projects, digitization, digital preservation activities and the purchase of equipment to automate library materials. Net assets were released from donor restrictions by incurring expenses of \$102,184 and \$614,547 in 2017 and 2016, respectively. Grant contributions received that are not expended for their restricted purposes are refundable to the grantor. Temporarily restricted net assets at June 30, 2017 and 2016 were available for the following purposes:

	<u>2017</u>	<u>2016</u>
South Asia Survey	\$ 5,881	\$ 5,881
Carnegie-DG	3,073	3,073
Global Resources Network 2016	2,369	2,369
Global Resources Collections Initiative 41	597,316	-
Government Documentation Digitization Project	<u>5,095</u>	<u>5,095</u>
Total	<u>\$ 613,734</u>	<u>\$ 16,418</u>

The Andrew Mellon Foundation awarded a \$661,000 grant (Global Resources Collections Initiative 41) to the Center for use through September 30, 2018 to support an international initiative to preserve and provide access to non-Western, non-English-language archival and library collections.

NOTE 11. PENSION PLAN

The Center has established and made available to its employees a defined contribution money purchase pension plan. Under this plan, funds contributed by the Center and participating employees are used to purchase retirement annuity and life insurance contracts for the participants through the Teachers Insurance and Annuity Association and/or the College Retirement Equities Fund. Contributions made by the Center are based on participants' compensation as defined by the plan. The Center's contributions for the years ended June 30, 2017 and 2016 totaled \$296,987 and \$299,593 respectively.

NOTE 12. RELATED PARTY TRANSACTIONS

The Center earns cataloging revenue from OCLC and also purchases cataloging and other services from OCLC. Cataloging revenue was \$3,325 and \$47,909 during the years ended June 30, 2017 and 2016, respectively. Total services purchased from OCLC were approximately \$18,280 and \$63,642 for the years ended June 30, 2017 and 2016, respectively.

The Center also routinely provides membership services to educational institutions that employ members of the Board of Directors.

NOTE 13. CONCENTRATION OF CASH

The Center maintains its cash balances in financial institutions deemed to be creditworthy. Balances are insured by FDIC up to \$250,000 per financial institution. Balances may at times exceed insured limits. However, the balances are swept nightly into a money market account that is secured by U.S. Government bonds. The Center believes its credit risk to be minimal.

NOTE 14. CAPITALIZED FINANCING COSTS

In 2014, the Center capitalized \$45,023 in additional financing costs associated with the refinancing of its loan under the Illinois Educational Facilities Authority's Cultural Pooled Financing Program. In 2001, the Center capitalized \$110,448 in financing costs associated with the original financing of the loan. These costs are being amortized over the term of the bonds using the straight-line method, which approximates the effective interest method. Amortization expenses were \$8,727 for each of the years ended June 30, 2017 and 2016, respectively. Accumulated amortization for the years ended June 30, 2017 and 2016 was \$86,019 and \$77,292 respectively.

Future amortizations of capitalized financing costs as of June 30, 2017 are as follows:

Year ending June 30,	
2018	\$ 8,727
2019	8,727
2020	8,727
2021	8,727
2022	8,727
Thereafter	<u>25,817</u>
Total	<u>\$ 69,452</u>

CENTER FOR RESEARCH LIBRARIES
SCHEDULE OF FUNCTIONAL EXPENSES AND COLLECTION EXPENDITURES
 YEAR ENDED JUNE 30, 2017

	Program							Management and General					
	Collections Storage and Maintenance	Acquisitions	Conversion of Materials	Service and Delivery	Cataloging and Metadata	Area Microform Projects	Grants	Total Program	Management and General	Licensing Negotiations	Total	Fundraising	Total
Support services													
Cataloging fees	\$ -	\$ -	\$ -	\$ -	\$ 18,280	\$ -	\$ -	\$ 18,280	\$ -	\$ -	\$ -	\$ -	\$ 18,280
Innovative	1,995	58,049	-	-	-	-	-	60,044	-	-	-	-	60,044
Microform costs	8,205	10,443	26,107	29,837	-	69,946	-	144,538	-	-	-	-	144,538
Other support	93,698	218,628	-	312,326	-	69,370	-	694,022	-	-	-	-	694,022
	<u>103,898</u>	<u>287,120</u>	<u>26,107</u>	<u>342,163</u>	<u>18,280</u>	<u>139,316</u>	<u>-</u>	<u>916,884</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>916,884</u>
Personnel and operations													
Board and Council expense	-	-	-	-	-	-	-	-	37,078	-	37,078	-	37,078
Business expense	718	2,477	1,467	2,424	2,824	25,638	-	35,548	22,287	-	22,287	489	58,324
Consumable supplies	6,107	21,081	3,955	7,880	3,061	-	-	42,084	7,132	-	7,132	76	49,292
Indirect expenses	-	-	-	-	-	-	20,503	20,503	(19,589)	-	(19,589)	-	914
Insurance	4,697	15,450	9,069	13,378	17,504	-	-	60,098	16,560	-	16,560	3,202	79,860
Member relations	-	-	-	-	-	-	-	-	18,380	-	18,380	-	18,380
Memberships	758	22,061	-	-	830	-	-	23,649	10,678	-	10,678	-	34,327
Other outside support	4,991	16,113	9,362	13,815	17,716	35,094	2,750	99,841	38,797	33,159	71,956	3,224	175,021
Personnel	241,239	793,516	465,794	687,108	898,991	132,913	105,635	3,325,196	567,497	430,853	998,350	164,433	4,487,979
Postage and delivery	21,196	47,561	19,019	29,652	9,422	3,094	-	129,944	6,484	-	6,484	394	136,822
Special events	-	-	-	-	-	240	-	240	5,527	-	5,527	-	5,767
Telecommunications	4,004	9,711	3,628	5,855	1,910	-	16	25,124	1,582	-	1,582	74	26,780
Travel	243	7,071	-	-	266	49,940	800	58,320	5,481	-	5,481	-	63,801
	<u>283,953</u>	<u>935,041</u>	<u>512,294</u>	<u>760,112</u>	<u>952,524</u>	<u>267,422</u>	<u>109,201</u>	<u>3,820,547</u>	<u>717,894</u>	<u>464,012</u>	<u>1,181,906</u>	<u>171,892</u>	<u>5,174,345</u>
Plant and other													
Equipment purchases	1,556	3,492	1,396	2,177	691	-	-	9,312	476	-	476	29	9,817
Service contracts	20,072	45,039	18,010	28,078	8,919	-	-	120,118	6,135	-	6,135	372	126,625
Repairs	5,397	12,110	4,842	7,550	2,398	-	-	32,297	1,650	-	1,650	100	34,047
Utilities	37,314	83,726	33,479	52,197	16,580	-	-	223,296	11,405	-	11,405	691	235,392
	<u>64,339</u>	<u>144,367</u>	<u>57,727</u>	<u>90,002</u>	<u>28,588</u>	<u>-</u>	<u>-</u>	<u>385,023</u>	<u>19,666</u>	<u>-</u>	<u>19,666</u>	<u>1,192</u>	<u>405,881</u>
Total operating expenses	452,190	1,366,528	596,128	1,192,277	999,392	406,738	109,201	5,122,454	737,560	464,012	1,201,572	173,084	6,497,110
Interest expense	5,787	12,986	5,193	8,096	2,571	-	-	34,633	1,769	-	1,769	108	36,510
Depreciation	66,422	149,038	59,595	92,914	29,513	-	-	397,482	20,301	-	20,301	1,232	419,015
Total expenses before collections	<u>524,399</u>	<u>1,528,552</u>	<u>660,916</u>	<u>1,293,287</u>	<u>1,031,476</u>	<u>406,738</u>	<u>109,201</u>	<u>5,554,569</u>	<u>759,630</u>	<u>464,012</u>	<u>1,223,642</u>	<u>174,424</u>	<u>6,952,635</u>
Collection expenditures - not capitalized													
Materials	-	1,095,965	-	-	-	454,544	-	1,550,509	-	-	-	-	1,550,509
Preservation	-	24,974	-	-	-	-	-	24,974	-	-	-	-	24,974
Reference works	-	-	-	-	-	60	-	60	-	-	-	-	60
	<u>-</u>	<u>1,120,939</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>454,604</u>	<u>-</u>	<u>1,575,543</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>1,575,543</u>
Total	<u>\$ 524,399</u>	<u>\$ 2,649,491</u>	<u>\$ 660,916</u>	<u>\$ 1,293,287</u>	<u>\$ 1,031,476</u>	<u>\$ 861,342</u>	<u>\$ 109,201</u>	<u>\$ 7,130,112</u>	<u>\$ 759,630</u>	<u>\$ 464,012</u>	<u>\$ 1,223,642</u>	<u>\$ 174,424</u>	<u>\$ 8,528,178</u>

CENTER FOR RESEARCH LIBRARIES
SCHEDULE OF PROGRAM REVENUE AND EXPENSES - GRANTS
YEAR ENDED JUNE 30, 2017

	Temporarily Restricted Net Assets						Total
	CSAL	Carnegie Corp. of New York	Mellon Foundation			University of Arizona	
	South Asia Survey	Carnegie-DG	GRN 2016	CCPS 45	GRCI 41	Govt Docs Digitization Project	
REVENUE							
Grants	\$ -	\$ -	\$ -	\$ 38,500	\$ 661,000	\$ -	\$ 699,500
Investment income	-	-	-	-	-	-	-
Total revenue	<u>-</u>	<u>-</u>	<u>-</u>	<u>38,500</u>	<u>661,000</u>	<u>-</u>	<u>699,500</u>
EXPENSES							
Board/Council travel	-	-	-	-	800	-	800
Employee benefits	-	-	-	-	394	-	394
FICA	-	-	-	2,441	3,182	-	5,623
Medical insurance	-	-	-	-	8,611	-	8,611
Outside professional services	-	-	-	-	2,750	-	2,750
Retirement	-	-	-	1,120	2,382	-	3,502
Salaries	-	-	-	34,438	44,885	-	79,323
Telecommunications	-	-	-	-	16	-	16
Workmen's compensation	-	-	-	501	664	-	1,165
Total expenses	<u>-</u>	<u>-</u>	<u>-</u>	<u>38,500</u>	<u>63,684</u>	<u>-</u>	<u>102,184</u>
CHANGE IN NET ASSETS	-	-	-	-	597,316	-	597,316
NET ASSETS							
Beginning of year	<u>5,881</u>	<u>3,073</u>	<u>2,369</u>	<u>-</u>	<u>-</u>	<u>5,095</u>	<u>16,418</u>
End of year	<u>\$ 5,881</u>	<u>\$ 3,073</u>	<u>\$ 2,369</u>	<u>\$ -</u>	<u>\$ 597,316</u>	<u>\$ 5,095</u>	<u>\$ 613,734</u>

CENTER FOR RESEARCH LIBRARIES
SCHEDULE OF PROGRAM REVENUE AND EXPENSES - GRANTS
 YEAR ENDED JUNE 30, 2017

	Fee for Service Grants - Unrestricted Net Assets			
	CRRA <u>ICON Registry</u>	IDNP	NEH <u>HVAC</u>	<u>Total</u>
REVENUE				
Other grants	\$ 374	\$ 6,643	\$ -	\$ 7,017
EXPENSES				
Personnel cost allocation	<u>374</u>	<u>6,643</u>	<u>-</u>	<u>7,017</u>
CHANGE IN NET ASSETS	-	-	-	-
NET ASSETS				
Beginning of year	<u>-</u>	<u>-</u>	<u>136,500</u>	<u>136,500</u>
End of year	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 136,500</u>	<u>\$ 136,500</u>